



11/07/00

CERTIFICATE OF EXPRESS MAILING

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71-09-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: STRATP001

First Named Inventor: Owen, Daniel L.

jc714 U.S. PTO

09/708154



11/07/00

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Regular application claiming priority of a provisional)

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Daniel L. Owen and Michael W. Kusnic

For: **SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A COLLABORATIVE
DECISION PLATFORM**

Priority of U.S. Provisional Application No. 60/163,984 filed on November 8, 1999 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ 41 Pages of Specification, Claims and Abstract
- ☒ 47 Sheets of Informal Drawings
- ☒ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Small Entity Statement(s)
- ☐ Other:

Claim For Foreign Priority

☐ Priority of _____ Application No. _____ filed on _____
is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

“This application claims the benefit of U.S. Provisional Application No. 60/163,984
filed on 11/8/99, the disclosure of which is incorporated herein by reference.”

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY
	NO. FILED	NO. EXTRA	RATE	FEE	RATE FEE
BASIC FEE			\$355	\$355	OR \$710 \$
TOTAL CLAIMS	<u>27</u>	-20 = <u>07</u>	x09 = \$	63	OR x18 = \$
INDEP CLAIMS	<u>03</u>	-03 = <u>00</u>	x40 = \$		OR x80 = \$
[] Multiple Dependent Claim Presented			\$135 = \$		OR \$270 = \$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$418	OR Total \$

☒ Check No. 242 in the amount of \$458.00 is enclosed.

☐ No fees enclosed, please charge Deposit Account No. 50-1351

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-1351 (Order No. STRATP001).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-1351 (Order No. STRATP001).

☒ Please send correspondence to the following address:

Kevin J. Zilka
P.O. Box 721030
San Jose, CA 95172-1030

Tel (408) 505-5100



24277

PATENT TRADEMARK OFFICE

Customer No.::

Date: 11/07/2000


Kevin J. Zilka
Registration No. 41,429

Applicant/Patentee: Daniel L. Owen & Michael W. Kusnic
Application or Patent No. Unassigned Attorney Docket No. STRATP001
Filed or Issued: _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR §1.9(f) and §1.27(c)--SMALL BUSINESS CONCERN**

I hereby declare that I am
☐ the owner of the small business concern identified below:
☒ an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN: Customer Decision Platform, LLC
ADDRESS: P. O. Box 22, Lakeville, Michigan 48366

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR §121.3-18, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under 41(a) and (b) of Title 35, U.S. Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A COLLABORATIVE DECISION PLATFORM, by inventor(s) Daniel L. Owen and Michael W. Kusnic, described in:

☒ the specification filed herewith.
☐ Application No. _____ filed _____
☐ Patent No. _____ issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).
Note: separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

Name: _____
Address: _____
☐ individual ☒ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b)).

Parameter	Value	Unit
Temperature	25.0	°C
Pressure	1.0	atm
Concentration of H_2O_2	0.1	M
Concentration of Fe^{2+}	0.01	M
Concentration of H_2O_2 at $t = 0$	0.1	M
Concentration of Fe^{2+} at $t = 0$	0.01	M
Concentration of H_2O_2 at $t = 10$	0.08	M
Concentration of Fe^{2+} at $t = 10$	0.008	M
Concentration of H_2O_2 at $t = 20$	0.06	M
Concentration of Fe^{2+} at $t = 20$	0.006	M
Concentration of H_2O_2 at $t = 30$	0.04	M
Concentration of Fe^{2+} at $t = 30$	0.004	M
Concentration of H_2O_2 at $t = 40$	0.02	M
Concentration of Fe^{2+} at $t = 40$	0.002	M
Concentration of H_2O_2 at $t = 50$	0.01	M
Concentration of Fe^{2+} at $t = 50$	0.001	M
Concentration of H_2O_2 at $t = 60$	0.005	M
Concentration of Fe^{2+} at $t = 60$	0.0005	M
Concentration of H_2O_2 at $t = 70$	0.002	M
Concentration of Fe^{2+} at $t = 70$	0.0002	M
Concentration of H_2O_2 at $t = 80$	0.001	M
Concentration of Fe^{2+} at $t = 80$	0.0001	M
Concentration of H_2O_2 at $t = 90$	0.0005	M
Concentration of Fe^{2+} at $t = 90$	0.00005	M
Concentration of H_2O_2 at $t = 100$	0.0002	M
Concentration of Fe^{2+} at $t = 100$	0.00002	M
Concentration of H_2O_2 at $t = 110$	0.0001	M
Concentration of Fe^{2+} at $t = 110$	0.00001	M
Concentration of H_2O_2 at $t = 120$	0.00005	M
Concentration of Fe^{2+} at $t = 120$	0.000005	M
Concentration of H_2O_2 at $t = 130$	0.00002	M
Concentration of Fe^{2+} at $t = 130$	0.000002	M
Concentration of H_2O_2 at $t = 140$	0.00001	M
Concentration of Fe^{2+} at $t = 140$	0.000001	M
Concentration of H_2O_2 at $t = 150$	0.000005	M
Concentration of Fe^{2+} at $t = 150$	0.0000005	M
Concentration of H_2O_2 at $t = 160$	0.000002	M
Concentration of Fe^{2+} at $t = 160$	0.0000002	M
Concentration of H_2O_2 at $t = 170$	0.000001	M
Concentration of Fe^{2+} at $t = 170$	0.0000001	M
Concentration of H_2O_2 at $t = 180$	0.0000005	M
Concentration of Fe^{2+} at $t = 180$	0.00000005	M
Concentration of H_2O_2 at $t = 190$	0.0000002	M
Concentration of Fe^{2+} at $t = 190$	0.00000002	M
Concentration of H_2O_2 at $t = 200$	0.0000001	M
Concentration of Fe^{2+} at $t = 200$	0.00000001	M
Concentration of H_2O_2 at $t = 210$	0.00000005	M
Concentration of Fe^{2+} at $t = 210$	0.000000005	M
Concentration of H_2O_2 at $t = 220$	0.00000002	M
Concentration of Fe^{2+} at $t = 220$	0.000000002	M
Concentration of H_2O_2 at $t = 230$	0.00000001	M
Concentration of Fe^{2+} at $t = 230$	0.000000001	M
Concentration of H_2O_2 at $t = 240$	0.000000005	M
Concentration of Fe^{2+} at $t = 240$	0.0000000005	M
Concentration of H_2O_2 at $t = 250$	0.000000002	M
Concentration of Fe^{2+} at $t = 250$	0.0000000002	M
Concentration of H_2O_2 at $t = 260$	0.000000001	M
Concentration of Fe^{2+} at $t = 260$	0.0000000001	M
Concentration of H_2O_2 at $t = 270$	0.0000000005	M
Concentration of Fe^{2+} at $t = 270$	0.00000000005	M
Concentration of H_2O_2 at $t = 280$	0.0000000002	M
Concentration of Fe^{2+} at $t = 280$	0.00000000002	M
Concentration of H_2O_2 at $t = 290$	0.0000000001	M
Concentration of Fe^{2+} at $t = 290$	0.00000000001	M
Concentration of H_2O_2 at $t = 300$	0.00000000005	M
Concentration of Fe^{2+} at $t = 300$	0.000000000005	M
Concentration of H_2O_2 at $t = 310$	0.00000000002	M

SIGNATURE Daniel Oser DATE 11/03/00

INVENTOR INFORMATION

Inventor One Given Name:: Daniel L
Family Name:: Owen
Postal Address Line One:: P.O. Box 22
City:: Lakeville
State or Province:: Michigan
Country:: USA
Postal or Zip Code:: 48366
Citizenship Country:: USA
Inventor Two Given Name:: Michael W
Family Name:: Kusnic
Postal Address Line One:: P.O. Box 22
City:: Lakeville
State or Province:: Michigan
Country:: USA
Postal or Zip Code:: 48366
Citizenship Country:: USA

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 24277
Fax One:: 408-971-4660
Electronic Mail One:: julie@sviplaw.com

APPLICATION INFORMATION

Title Line One:: SYSTEM, METHOD AND COMPUTER PROGRAM PROD
Title Line Two:: UCT FOR A COLLABORATIVE DECISION PLATFOR
Title Line Three:: M
Total Drawing Sheets:: 42
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Application Type:: Utility
Docket Number:: STRATP001
Secrecy Order in Parent Appl.?: No

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Representative Customer Number:: 24277

Source:: PrintEFS Version 1.0.1

DOCKET No.
STRATP001

U.S. PATENT APPLICATION
FOR
SYSTEM, METHOD AND COMPUTER
PROGRAM PRODUCT FOR A
COLLABORATIVE DECISION PLATFORM

INVENTOR(S):
Daniel L. Owen
Michael W. Kusnic

ASSIGNEE: COLLABORATIVE DECISION PLATFORM, LLC

KEVIN J. ZILKA
PATENT AGENT
P.O. BOX 721030
SAN JOSE, CA 95172

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A COLLABORATIVE DECISION PLATFORM

5

RELATED APPLICATION(S)

The present application claims the priority of a previously filed provisional application with the title "Collaborative Decision Platform" filed November 8, 1999 under serial number 60/163,984, which is incorporated herein by reference in its
10 entirety. The present application is further related to an application filed concurrently herewith under the title "System, Method and Computer Program Product for a Customer-Centric Collaborative Protocol" by inventors Daniel L. Owen and Michael W. Kusnic under docket number STRATP002, which is incorporated herein by reference in its entirety.

15

FIELD OF THE INVENTION

The present invention relates to decision making logic, and more particularly to a computer-based platform which supports a decision making process.

20

BACKGROUND OF THE INVENTION

One of the first recorded decision making processes was proposed in the 18th century when Benjamin Franklin suggested a process by which one of two decision
25 alternatives could be selected through listing advantages of the alternatives side by side and canceling out advantages or groups of advantages judged to be equal on both sides. Subsequently many decision processes have been proposed and are in use today. These include popular ones, such as Kepner-Tregoe where criteria for making the decision are listed and the alternatives are assessed (on a scale from 1 to

- 10) as to how they perform on each of the criteria. The criteria are also weighted on a similar scale and the best alternative is judged to be the highest dot product of the criteria weights and the respective assessments for the alternative against the criteria. Various modifications to this basic process in order to take into account
- 5 complexities of having multiple decision makers, refining the assessment process through pair-wise comparison, etc., have resulted in many other such decision processes such as Value Management, Analytic Hierarchy Process, and others. There are also several methodologies (such as decision analyses using decision trees and probability methods) aimed at assisting a decision-maker think through the
- 10 options one has in making a decision and potential outcomes of each option. However many of these decision processes are in fact not processes, but only individual tools to compare pre-defined alternatives within a pre-specified problem frame.
- 15 In order to create a process which enables multiple decision makers to make strategic decisions in organizationally and technically complex circumstances, the Dialogue Decision Process (DDP) was proposed as a sequence of four steps (framing, alternatives, analysis, connection) and is well described in literature [Barabba, V.P., Meeting of the Minds, Harvard Business Press, and other sources].
- 20 However to date, a short-coming of the process above as well as other processes, is that there has been no way to ensure that it can be applied to any decision regardless of type, complexity or number of decision makers. Furthermore, there has been no software that supports the complete sequence of these steps since
- 25 each decision tends to be unique. This has resulted in each instantiation of decision processes being tailored to a particular decision. In the case of DDP, this has resulted in the process being a relatively sophisticated tool only used in certain circumstances and only when facilitated by experienced practitioners.

30

There is therefore a need for a computer-implemented method which may be utilized for implementing DDP in different environments in a universal manner.

STRATP001

DISCLOSURE OF THE INVENTION

A system, method and computer program product are afforded for providing a collaborative decision platform adapted to run on a computer. Initially, an application capable of performing decision logic is executed. Information is then retrieved from a database in accordance with the decision logic. Information is also exchanged with the users in accordance with the decision logic utilizing a user interface. The information is then processed utilizing the decision logic. In use, the foregoing steps are carried out by a collaborative decision platform capable of retrieving and receiving the information, and processing such information for different purposes by executing different applications each capable of performing different decision logic. In other words, the different applications may each provide a different decision structure. It should be noted that the various steps set forth hereinabove may be carried out using universal modules capable of interfacing with the different applications for different types of decisions via the collaborative decision platform.

In one embodiment of the present invention, the collaborative decision platform may communicate with the decision application through a standard interface protocol. Further, the information may be retrieved and received via a network. As an option, the network may be the Internet. In one example, the purpose may be real estate-related, medical-related, corporate-related, and/or financial-related.

In another embodiment of the present invention, data may be collected from the decision logic for generating visual displays of a decision hierarchy and an influence diagram. Further, the user may be prompted to approve the visual displays of the decision hierarchy and the influence diagram.

As a further option, the data may include (a) policies that form boundary conditions associated with the decision logic, (b) strategic decisions to be made, (c) values that are important to the user, (d) uncertainties that may impact the values, and a relationship between (a)-(d). Further, a strategy table may be created using the data. Optionally, the uncertainties may be assessed for analysis purposes.

In yet another embodiment, a tornado diagram and decision sensitivity output displays may be generated. The decision logic provides potential feasible hybrid themes.

10

In one aspect of the present invention, an operating system architecture is provided that can support decision making for all types of decisions. The architecture specifies a standard interface protocol and can accommodate any decision application (e.g. financial, medical, buying a house, selecting a corporate strategy, etc.) that has the standard interface protocol. The standard interface protocol allows individuals with expertise to develop software applications for a particular type of decision to be hosted by the collaborative decision platform. In addition, the architecture specifies a standard interface protocol for the organization of decision-relevant databases to support decision-making. The protocol allows individuals with expertise to codify their information in decision-relevant databases for application to all types decisions that are hosted by the collaborative decision platform.

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A graphical user interface may be provided with a common set of displays or formats that couple with the decision-making architecture to become a platform for asynchronous, remote collaborative decision-making. The decision-making architecture enables a stable connectivity among the common displays.

25

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BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates a method for providing a collaborative decision platform
5 adapted to run on a computer;

Figure 1a illustrates a system by which the method of Figure 1 may be
carried out;

10 Figure 1b illustrates a networked decision making environment in
accordance with one embodiment of the present invention;

Figure 2 shows a representative hardware environment on which the
collaborative decision platform of Figure 1a may be implemented;

15 Figure 3 illustrates an example of Framing in accordance with one
embodiment of the present invention;

Figure 3a illustrates various logic associated with the Framing process of the
20 present invention;

Figure 4 illustrates an example of Alternatives in accordance with one
embodiment of the present invention;

25 Figure 4a illustrates various logic associated with the Alternatives process of
the present invention which is capable of handling its various input for the purpose
of generating a strategy table;

Figure 5 illustrates an example of Analysis in accordance with one
30 embodiment of the present invention;

Figure 5a illustrates various logic associated with the Analysis process of the present invention;

Figure 6 illustrates an example of Connection in accordance with one
5 embodiment of the present invention;

Figure 6a illustrates various logic associated with the Connection process of the present invention;

10 Figure 7 illustrates the various logical connectivity between the various inputs and outputs of the Framing, Alternatives, Analysis, and Connection logic that comprises the users' interface;

Figures 8a-i illustrate an example of an application of the various logic
15 components set forth in Figures 3-7;

Figure 9 illustrates a method for affording customer-centric collaborative decision making in a business-to-business framework;

20 Figures 9a and 10 illustrates tables associated with the method of Figure 9;

Figure 11 is a schematic diagram showing the customer-centric collaborative protocol;

25 Figure 12 illustrates a first example of the embodiment set forth in Figure 11;

Figure 13 illustrates a second example of the embodiment set forth in Figure 11;

Figures 14 and 15 illustrate third and fourth examples, respectively, of the embodiment set forth in Figure 11, where an industry independent, open and scalable platform is provided for business-to business exchange of existing goods and services that are not commodities;

5

Figure 16 illustrates a fifth example of the embodiment set forth in Figure 11, where an industry independent, open and scalable platform is provided for B2B real-time collaboration in the definition of future, non-existent goods and services;

10 Figures 17 and 18 illustrate sixth and seventh examples, respectively, of the embodiment set forth in Figure 11, where a new business design is provided that assists business-to-business enterprises in measuring the value creation for its customers; and

15 Figures 19 through 30 illustrate an exemplary application of the customer centric collaborative protocol.

20

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 illustrates a method 100 for providing a collaborative decision
5 platform adapted to run on a computer. Initially, an application capable of
performing decision logic is executed. See operation 102.

Information is then retrieved from a database in accordance with the decision
logic, as indicated in operation 104. Information is then delivered to and received
10 from a user in accordance with the decision logic utilizing a user interface. Note
operation 106. The information is then processed in operation 108 utilizing the
decision logic.

In use, the foregoing steps are carried out by a collaborative decision
15 platform capable of retrieving and receiving the information, and processing such
information for different purposes by executing different applications each capable
of performing different decision logic. Note operation 110. It should be noted that
the various steps set forth hereinabove may be carried out using universal modules
capable of interfacing with different applications.

20

Figure 1a illustrates a system 120 by which the foregoing method of Figure 1
may be carried out. As shown, a collaborative decision platform 122 is provided
which has an interface 125 with at least one application 124 for executing the
decision logic, as set forth in operation 102 of Figure 1. Further included is a
25 database 126, which has an interface 127 with the collaborative decision platform
122 in accordance with operation 104 of Figure 1. Further, a user interface 128 is
provided for receiving information from and providing information to the users. The
interfaces 125, 127, and 128 are defined by the collaborative decision platform 122.
The users may be an important element of the system 120. Note the two-headed
30 arrow representing the users' interface 128 with the collaborative decision platform

122 to indicate the interaction, while the single arrowhead of the interface 125 and 127 indicates input. Note operation 106 of Figure 1. The collaborative decision platform 122 may be run on any type of hardware architecture 130.

5 As set forth earlier, the various steps of Figure 1 may be carried out using universal modules capable of interfacing with different applications. Such different applications 124 may be capable of performing decision logic relating to any type of decision-making process (e.g. financial, medical, buying a house, selecting a corporate strategy, etc.). In use, the collaborative decision platform 122 enables
10 decision-making processes through the sequence and connectivity of a set of common displays, which describes the decision to be made. The collaborative decision platform 122 further enables asynchronous, remote decision-making processes, i.e. the ability to have different people input data into the set of common displays at different times, and from different places. Further, the database 126 may
15 take the form of any one or a plurality of databases which may or may not be interconnected via a network such as the Internet. To this end, the present embodiment is designed to foster clear and conscientious decision-making.

Figure 1b illustrates a plurality of network 130 of decision environments for
20 allowing enterprises to learn more rapidly and coordinate more effectively. Such a network of decision environments each include at least one collaborative user interface which each communicate with an enterprise learning and coordination module 132 that may include one or more collaborative decision platforms 122. Such a network 130 may allow the decision environments to be a physical
25 arrangement optimized for human decision making or a virtual environment consisting of only the computer hardware and the collaborative decision platform 122.

Figure 2 shows a representative hardware environment on which the
30 collaborative decision platform 122 of Figure 1a may be implemented. Such figure

illustrates a typical hardware configuration of a workstation in accordance with a preferred embodiment having a central processing unit **210**, such as a microprocessor, and a number of other units interconnected via a system bus **212**.

5 The workstation shown in Figure 2 includes a Random Access Memory (RAM) **214**, Read Only Memory (ROM) **216**, an I/O adapter **218** for connecting peripheral devices such as disk storage units **220** to the bus **212**, a user interface adapter **222** for connecting a keyboard **224**, a mouse **226**, a speaker **228**, a microphone **232**, and/or other user interface devices such as a touch screen (not
10 shown) to the bus **212**, communication adapter **234** for connecting the workstation to a communication network **235** (e.g., a data processing network) and a display adapter **236** for connecting the bus **212** to a display device **238**.

 The workstation typically has resident thereon an operating system such as
15 the Microsoft Windows NT or Windows/95 Operating System (OS), the IBM OS/2 operating system, the MAC OS, or UNIX operating system. Those skilled in the art will appreciate that the present invention may also be implemented on platforms and operating systems other than those mentioned.

20 A preferred embodiment is written using JAVA, C, and the C++ language and utilizes object oriented programming methodology. Object oriented programming (OOP) has become increasingly used to develop complex applications. As OOP moves toward the mainstream of software design and development, various software solutions require adaptation to make use of the benefits of OOP. A need
25 exists for these principles of OOP to be applied to a messaging interface of an electronic messaging system such that a set of OOP classes and objects for the messaging interface can be provided.

OOP is a process of developing computer software using objects, including the steps of analyzing the problem, designing the system, and constructing the program. An object is a software package that contains both data and a collection of related structures and procedures. Since it contains both data and a collection of structures and procedures, it can be visualized as a self-sufficient component that does not require other additional structures, procedures or data to perform its specific task. OOP, therefore, views a computer program as a collection of largely autonomous components, called objects, each of which is responsible for a specific task. This concept of packaging data, structures, and procedures together in one component or module is called encapsulation.

In general, OOP components are reusable software modules which present an interface that conforms to an object model and which are accessed at run-time through a component integration architecture. A component integration architecture is a set of architecture mechanisms which allow software modules in different process spaces to utilize each other's capabilities or functions. This is generally done by assuming a common component object model on which to build the architecture. It is worthwhile to differentiate between an object and a class of objects at this point. An object is a single instance of the class of objects, which is often just called a class. A class of objects can be viewed as a blueprint, from which many objects can be formed.

OOP allows the programmer to create an object that is a part of another object. For example, the object representing a piston engine is said to have a composition-relationship with the object representing a piston. In reality, a piston engine comprises a piston, valves and many other components; the fact that a piston is an element of a piston engine can be logically and semantically represented in OOP by two objects.

OOP also allows creation of an object that "depends from" another object. If there are two objects, one representing a piston engine and the other representing a

piston engine wherein the piston is made of ceramic, then the relationship between the two objects is not that of composition. A ceramic piston engine does not make up a piston engine. Rather it is merely one kind of piston engine that has one more limitation than the piston engine; its piston is made of ceramic. In this case, the object representing the ceramic piston engine is called a derived object, and it inherits all of the aspects of the object representing the piston engine and adds further limitation or detail to it. The object representing the ceramic piston engine “depends from” the object representing the piston engine. The relationship between these objects is called inheritance.

10

When the object or class representing the ceramic piston engine inherits all of the aspects of the objects representing the piston engine, it inherits the thermal characteristics of a standard piston defined in the piston engine class. However, the ceramic piston engine object overrides these ceramic specific thermal characteristics, which are typically different from those associated with a metal piston. It skips over the original and uses new functions related to ceramic pistons. Different kinds of piston engines have different characteristics, but may have the same underlying functions associated with it (e.g., how many pistons in the engine, ignition sequences, lubrication, etc.). To access each of these functions in any piston engine object, a programmer would call the same functions with the same names, but each type of piston engine may have different/overriding implementations of functions behind the same name. This ability to hide different implementations of a function behind the same name is called polymorphism and it greatly simplifies communication among objects.

25

With the concepts of composition-relationship, encapsulation, inheritance and polymorphism, an object can represent just about anything in the real world. In fact, one’s logical perception of the reality is the only limit on determining the kinds of things that can become objects in object-oriented software. Some typical categories are as follows:

30

- Objects can represent physical objects, such as automobiles in a traffic-flow simulation, electrical components in a circuit-design program, countries in an economics model, or aircraft in an air-traffic-control system.
- Objects can represent elements of the computer-user environment such as windows, menus or graphics objects.
- An object can represent an inventory, such as a personnel file or a table of the latitudes and longitudes of cities.
- An object can represent user-defined data types such as time, angles, and complex numbers, or points on the plane.

10

With this enormous capability of an object to represent just about any logically separable matters, OOP allows the software developer to design and implement a computer program that is a model of some aspects of reality, whether that reality is a physical entity, a process, a system, or a composition of matter.

15 Since the object can represent anything, the software developer can create an object which can be used as a component in a larger software project in the future.

If 90% of a new OOP software program consists of proven, existing components made from preexisting reusable objects, then only the remaining 10% of the new software project has to be written and tested from scratch. Since 90% already came from an inventory of extensively tested reusable objects, the potential domain from which an error could originate is 10% of the program. As a result, OOP enables software developers to build objects out of other, previously built objects.

25

This process closely resembles complex machinery being built out of assemblies and sub-assemblies. OOP technology, therefore, makes software engineering more like hardware engineering in that software is built from existing components, which are available to the developer as objects. All this adds up to an improved quality of the software as well as an increased speed of its development.

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Programming languages are beginning to fully support the OOP principles, such as encapsulation, inheritance, polymorphism, and composition-relationship. With the advent of the C++ language, many commercial software developers have embraced OOP. C++ is an OOP language that offers a fast, machine-executable code. Furthermore, C++ is suitable for both commercial-application and systems-programming projects. For now, C++ appears to be the most popular choice among many OOP programmers, but there is a host of other OOP languages, such as Smalltalk, Common Lisp Object System (CLOS), and Eiffel. Additionally, OOP capabilities are being added to more traditional popular computer programming languages such as Pascal.

The benefits of object classes can be summarized, as follows:

- Objects and their corresponding classes break down complex programming problems into many smaller, simpler problems.
- Encapsulation enforces data abstraction through the organization of data into small, independent objects that can communicate with each other. Encapsulation protects the data in an object from accidental damage, but allows other objects to interact with that data by calling the object's member functions and structures.
- Subclassing and inheritance make it possible to extend and modify objects through deriving new kinds of objects from the standard classes available in the system. Thus, new capabilities are created without having to start from scratch.
- Polymorphism and multiple inheritance make it possible for different programmers to mix and match characteristics of many different classes and create specialized objects that can still work with related objects in predictable ways.
- Class hierarchies and containment hierarchies provide a flexible mechanism for modeling real-world objects and the relationships among them.

- Libraries of reusable classes are useful in many situations, but they also have some limitations. For example:
- Complexity. In a complex system, the class hierarchies for related classes can become extremely confusing, with many dozens or even hundreds of classes.
- Flow of control. A program written with the aid of class libraries is still responsible for the flow of control (i.e., it must control the interactions among all the objects created from a particular library). The programmer has to decide which functions to call at what times for which kinds of objects.
- Duplication of effort. Although class libraries allow programmers to use and reuse many small pieces of code, each programmer puts those pieces together in a different way. Two different programmers can use the same set of class libraries to write two programs that do exactly the same thing but whose internal structure (i.e., design) may be quite different, depending on hundreds of small decisions each programmer makes along the way. Inevitably, similar pieces of code end up doing similar things in slightly different ways and do not work as well together as they should.

Class libraries are very flexible. As programs grow more complex, more programmers are forced to reinvent basic solutions to basic problems over and over again. A relatively new extension of the class library concept is to have a framework of class libraries. This framework is more complex and consists of significant collections of collaborating classes that capture both the small scale patterns and major mechanisms that implement the common requirements and design in a specific application domain. They were first developed to free application programmers from the chores involved in displaying menus, windows, dialog boxes, and other standard user interface elements for personal computers.

Frameworks also represent a change in the way programmers think about the interaction between the code they write and code written by others. In the early days

of procedural programming, the programmer called libraries provided by the operating system to perform certain tasks, but basically the program executed down the page from start to finish, and the programmer was solely responsible for the flow of control. This was appropriate for printing out paychecks, calculating a
5 mathematical table, or solving other problems with a program that executed in just one way.

The development of graphical user interfaces began to turn this procedural programming arrangement inside out. These interfaces allow the user, rather than
10 program logic, to drive the program and decide when certain actions should be performed. Today, most personal computer software accomplishes this by means of an event loop which monitors the mouse, keyboard, and other sources of external events and calls the appropriate parts of the programmer's code according to actions that the user performs. The programmer no longer determines the order in which
15 events occur. Instead, a program is divided into separate pieces that are called at unpredictable times and in an unpredictable order. By relinquishing control in this way to users, the developer creates a program that is much easier to use. Nevertheless, individual pieces of the program written by the developer still call libraries provided by the operating system to accomplish certain tasks, and the
20 programmer must still determine the flow of control within each piece after it's called by the event loop. Application code still "sits on top of" the system.

Even event loop programs require programmers to write a lot of code that should not need to be written separately for every application. The concept of an
25 application framework carries the event loop concept further. Instead of dealing with all the nuts and bolts of constructing basic menus, windows, and dialog boxes and then making these things all work together, programmers using application frameworks start with working application code and basic user interface elements in place. Subsequently, they build from there by replacing some of the generic
30 capabilities of the framework with the specific capabilities of the intended application.

Application frameworks reduce the total amount of code that a programmer has to write from scratch. However, because the framework is really a generic application that displays windows, supports copy and paste, and so on, the programmer can also relinquish control to a greater degree than event loop programs permit. The framework code takes care of almost all event handling and flow of control, and the programmer's code is called only when the framework needs it (e.g., to create or manipulate a proprietary data structure).

A programmer writing a framework program not only relinquishes control to the user (as is also true for event loop programs), but also relinquishes the detailed flow of control within the program to the framework. This approach allows the creation of more complex systems that work together in interesting ways, as opposed to isolated programs, having custom code, being created over and over again for similar problems.

Thus, as is explained above, a framework basically is a collection of cooperating classes that make up a reusable design solution for a given problem domain. It typically includes objects that provide default behavior (e.g., for menus and windows), and programmers use it by inheriting some of that default behavior and overriding other behavior so that the framework calls application code at the appropriate times.

There are three main differences between frameworks and class libraries:

- Behavior versus protocol. Class libraries are essentially collections of behaviors that you can call when you want those individual behaviors in your program. A framework, on the other hand, provides not only behavior but also the protocol or set of rules that govern the ways in which behaviors can be combined, including rules for what a programmer is supposed to provide versus what the framework provides.

- Call versus override. With a class library, the code the programmer instantiates objects and calls their member functions. It's possible to instantiate and call objects in the same way with a framework (i.e., to treat the framework as a class library), but to take full advantage of a framework's reusable design, a programmer typically writes code that overrides and is called by the framework. The framework manages the flow of control among its objects. Writing a program involves dividing responsibilities among the various pieces of software that are called by the framework rather than specifying how the different pieces should work together.
- Implementation versus design. With class libraries, programmers reuse only implementations, whereas with frameworks, they reuse design. A framework embodies the way a family of related programs or pieces of software work. It represents a generic design solution that can be adapted to a variety of specific problems in a given domain. For example, a single framework can embody the way a user interface works, even though two different user interfaces created with the same framework might solve quite different interface problems.

Thus, through the development of frameworks for solutions to various problems and programming tasks, significant reductions in the design and development effort for software can be achieved. A preferred embodiment of the invention utilizes HyperText Markup Language (HTML) to implement documents on the Internet together with a general-purpose secure communication protocol for a transport medium between the client and the Newco. HTTP or other protocols could be readily substituted for HTML without undue experimentation. Information on these products is available in T. Berners-Lee, D. Connolly, "RFC 1866: Hypertext Markup Language - 2.0" (Nov. 1995); and R. Fielding, H. Frystyk, T. Berners-Lee, J. Gettys and J.C. Mogul, "Hypertext Transfer Protocol -- HTTP/1.1: HTTP Working Group Internet Draft" (May 2, 1996). HTML is a simple data format used to create hypertext documents that are portable from one platform to another. HTML documents are SGML documents with generic semantics that are appropriate for

representing information from a wide range of domains. HTML has been in use by the World-Wide Web global information initiative since 1990. HTML is an application of ISO Standard 8879; 1986 Information Processing Text and Office Systems; Standard Generalized Markup Language (SGML).

5

To date, Web development tools have been limited in their ability to create dynamic Web applications which span from client to server and interoperate with existing computing resources. Until recently, HTML has been the dominant technology used in development of Web-based solutions. However, HTML has

10 proven to be inadequate in the following areas:

- Poor performance;
- Restricted user interface capabilities;
- Can only produce static Web pages;
- Lack of interoperability with existing applications and data; and
- 15 • Inability to scale.

Sun Microsystem's Java language solves many of the client-side problems by:

- Improving performance on the client side;
- 20 • Enabling the creation of dynamic, real-time Web applications; and
- Providing the ability to create a wide variety of user interface components.

With Java, developers can create robust User Interface (UI) components. Custom "widgets" (e.g., real-time stock tickers, animated icons, etc.) can be created, and
25 client-side performance is improved. Unlike HTML, Java supports the notion of client-side validation, offloading appropriate processing onto the client for improved performance. Dynamic, real-time Web pages can be created. Using the above-mentioned custom UI components, dynamic Web pages can also be created.

Sun's Java language has emerged as an industry-recognized language for "programming the Internet." Sun defines Java as: "a simple, object-oriented, distributed, interpreted, robust, secure, architecture-neutral, portable, high-performance, multithreaded, dynamic, buzzword-compliant, general-purpose programming language. Java supports programming for the Internet in the form of platform-independent Java applets." Java applets are small, specialized applications that comply with Sun's Java Application Programming Interface (API) allowing developers to add "interactive content" to Web documents (e.g., simple animations, page adornments, basic games, etc.). Applets execute within a Java-compatible browser (e.g., Netscape Navigator) by copying code from the server to client. From a language standpoint, Java's core feature set is based on C++. Sun's Java literature states that Java is basically, "C++ with extensions from Objective C for more dynamic method resolution."

Another technology that provides similar function to JAVA is provided by Microsoft and ActiveX Technologies, to give developers and Web designers wherewithal to build dynamic content for the Internet and personal computers. ActiveX includes tools for developing animation, 3-D virtual reality, video and other multimedia content. The tools use Internet standards, work on multiple platforms, and are being supported by over 100 companies. The group's building blocks are called ActiveX Controls, small, fast components that enable developers to embed parts of software in hypertext markup language (HTML) pages. ActiveX Controls work with a variety of programming languages including Microsoft Visual C++, Borland Delphi, Microsoft Visual Basic programming system and, in the future, Microsoft's development tool for Java, code named "Jakarta." ActiveX Technologies also includes ActiveX Server Framework, allowing developers to create server applications. One of ordinary skill in the art readily recognizes that ActiveX could be substituted for JAVA without undue experimentation to practice the invention.

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It should be noted that, in one embodiment, the information database and the common displays may all be treated as objects by the platform. As such, the foregoing technology may be utilized in the implementation of the overall system, as embodied in Figure 1a.

5

Preferred Embodiment

The platform of the present embodiment acts as a “decision engine” which drives the decision process through a sequence of logical steps to a conclusion. The users’ interface during these steps is the set of common displays exhibited by the platform. The users receive and provide specific decision information to the platform by entering or modifying the structure of the decision and the decision-relevant information in the display areas where appropriate. In order to start the process, the platform hosts a decision application which provides the structure for the type of decision that the user wants to make. The application and platform communicate through a standard interface protocol. The platform guides the user through four steps (framing, alternatives, analysis and connection), but these are tailored to the decision at hand through the decision application.

Figure 3 illustrates an example of Framing **300** in accordance with one embodiment of the present invention. The purpose of Framing is to clearly communicate to the users the capabilities of the chosen decision application **124** and to allow the users to modify the problem definition to the extent that the capability for modification has been incorporated by the authors of the application. During Framing, the specific decision application provides certain key pieces of information about the decision at hand as input in a specific format or protocol **125** specified by the collaborative decision platform **122** that describe the capabilities of that application. Such input may include the policies that form boundary conditions for the decision, the strategic decisions that can be made, the values that are important to the decision makers, the uncertainties that may impact the values desired, and the relationship of the above elements.

The Framing process, using this key input from the decision application 124 in the specific format 125, generates visual displays of a decision hierarchy 304 and an influence diagram 306, to be confirmed or modified by the users. The users' information 129 is seen as an input to the framing process 300, because the users interact with the platform 122 to produce a resultant decision hierarchy 304 and the influence diagram 306 that capture their collective view of the decision problem. Note the two-headed arrow representing the users' interface 128 with the collaborative decision platform 122 to indicate the interaction, while the single arrow head of the interface 125 indicates input. In the event that the users are unable to successfully represent the decision problem as they see it with the initial decision application, they will select another application 124 and repeat the Framing process 300.

Figure 3a illustrates various logic 310 associated with the Framing process of the present invention. As shown, a first Framing module 314 receives information from the decision application 124, such as the specific policies, decisions (controllables) and tactics that it can accommodate with a logical structure. The first framing module 314 orders the precedence of decisions to output the decision hierarchy 304. Decisions that have already been made are referred to as "policy," a set of one or more decisions of immediate interest are referred to as "strategy" or "strategic decisions" or just "decisions," and decisions that can be deferred until later are referred to as "tactics." The users confirm or modify 129 the policies, decisions and tactics. For example, the users may not want to address a particular decision at this time, in which case it would become a tactic.

Working in parallel with the first Framing module 314 is a second Framing module 316. Such second Framing module 316 receives as input pertinent uncertainties or risks (uncontrollables), information sources and values that further describe the capabilities of the decision application 124. The second Framing

module **316** also receives as input the decisions identified by the first Framing module **314** and users' confirmation or modification **129** of the values, information sources and uncertainties. With such, the second Framing module **316** structures a relationship of decisions, values and uncertainties in form of the influence diagram
5 and a corresponding directory to sources of information **306**.

Figure **4** illustrates an example of Alternatives **400** in accordance with one embodiment of the present invention. The purpose of the Alternatives process is to develop a set of strategic alternatives that capture the range of possibilities
10 envisioned by the users. After Framing, the platform moves to Alternatives, and receives from the decision application **124** and the information data base **126** alternative strategies each comprised of a set of coherent choices for each of the strategic decision. The users confirm or modify **129** the alternative strategies. The platform generates the visual display of the strategies defined on a strategy table **402**.

15

Figure **4a** illustrates various logic **406** associated with the Alternatives process of the present invention which is capable of generating several strategies defined on a strategy table **402**. Included with the Alternatives logic **406** is a first Alternatives module **410** that receives the decision hierarchy **304** generated by the Framing logic **310**. The first Alternatives module **410** obtains decision alternatives
20 in each of the decision areas from the decision application **124** and from an information database **126** for the purpose of developing a strategy table. Each (strategic) decision from the decision hierarchy **304** becomes a column heading in the strategy table **402** with the alternatives for that decision arranged in a column beneath it. The first Alternatives module **410** also takes as input the users
25 confirmation or modification **129** of the decision alternatives.

A second Alternatives module **412** combines the strategy table output of the first Alternatives module **410** with strategy descriptions from the decision
30 application **124**. The strategy descriptions include a strategy name and the selection

of one alternative for each of the decisions that comprise the column headings in the strategy table **402**. The second Alternatives module **412** can then display the strategies on a strategy table and incorporate the users' confirmation or modifications **129**. For example, the users may want to define their own strategy, which they would do by providing the second Alternatives module **412** with a strategy name and the selection of an alternative in each column of the strategy table **402**.

Figure **5** illustrates an example of Analysis **500** in accordance with one embodiment of the present invention. The purpose of the Analysis process is to enable the users to have a shared understanding of the significant sources of risk and value in each of the initially defined alternative strategies. During Analysis, the platform prompts the information database **126** for assessments on each of the uncertainties set forth in a format **127** specified as low estimate, nominal estimate, and high estimate. These assessments are made for uncertainties influenced by the choice of decision, as well as independent uncertainties.

Using the information generated previously and the model structure of the decision application **124**, the platform makes the necessary calculations to output tornado diagrams **502** and decision sensitivity output displays for each of the alternative strategies **509**. The users confirm or modify the input information **129** and structure from the decision application **124**. The tornado diagrams identify the sources of significant risk in each alternative strategy and the decision sensitivity identifies the sources of significant value in each alternative strategy.

25

Figure **5a** illustrates various logic **506** associated with the Analysis process of the present invention. As shown, a first Analysis module **508** receives as input the influence diagram **306**, identifying uncertainties and their relationship to the value and the decisions. The influence diagram also includes an information directory, which specifies the information database(s) **126** that will provide the

decision-relevant information. This first Analysis module **508** also receives as input from the information data base(s) **126** assessed ranges or probabilities for each of the uncertainties identified by the influence diagram **306** generated using the Framing logic **310**. These data ranges are confirmed or modified by the users **129**.

5

The output of the first Analysis module **508** is further used by a second Analysis module **514**. The second Analysis module **514** takes as input the structural relationship of decisions, values and uncertainties from the decision application **124**. An example of such a structural relationship is a spreadsheet comprised of equations relating decisions, values and uncertainties. This output is, in turn, used to generate the tornado diagram **502** by varying each of the uncertainties over its range and recording the effect on value.

In parallel with the first and second Analysis modules is a third Analysis module **510** that takes as input the strategies defined on the strategy table **402**, the output of the first Analysis module **508** and the structural relationship of decisions, values and uncertainties from the decision application **124**. With such input, the third Analysis module **510** identifies a contribution to the total value of each alternative for each decision that comprises each strategy. Given this information, a decision sensitivity table **509** may be constructed.

Figure **6** illustrates an example of Connection **600** in accordance with one embodiment of the present invention. The purpose of Connection is for the users to develop a new, more valuable "hybrid" strategy **602** combining the most valuable decisions in each of the initially defined alternative strategies. During Connection, the users' insight into the sources of risk and value **129** interacts with new decision relevant information from the database **126** and the decision structure provided by the decision application **124** to output an evaluation of the hybrid strategy **602**.

Figure 6a illustrates various logic 604 associated with the Connection process of the present invention. As shown, the logic 604 includes a first Connection module 606 which receives as input a value contribution of each alternative for each decision that comprise each strategy, the decision sensitivity 509 generated by the Analysis logic 506. The first connection module 606 also receives as input user insight 129 regarding how to combine the sources of value into a new, more valuable hybrid strategy. A second logic module 608 of the connection logic 604 takes as input the users' insight 129 about additional information sources that could reduce the significant uncertainties or risks identified in the tornado diagram 502. This second Connection module 608 then selects that new information from an appropriate decision relevant database (perhaps one not previously used for this decision problem) 126. The description of the new hybrid alternative from the first Connection module 606 and the new risk reducing information from the second Connection module 608 are input to a third module 610. This third module 610 uses the structural relationship of decisions, values and uncertainties (e.g., spreadsheet) from the decision application 124 to output the value of the hybrid strategy 602.

Figure 7 illustrates the various logical connectivity among the various common displays of the Framing, Alternatives, Analysis, and Connection that comprise the users' interface 128.

Figures 8a-i illustrate an example of an application of the various logic components set forth in Figures 3-7. As shown, such illustrative application of the collaborative decision platform relates to an individual and his/her spouse, the users, selecting a strategy for participation in an employer's stock purchase program. Initially, the collaborative decision platform executes a decision application selected by the users for developing stock purchase strategies.

In the Framing process, the collaborative decision platform uses input from the decision application to present the users with an initial decision hierarchy, which

the users confirm or modify. The collaborative decision platform produces the resulting decision hierarchy **800**, shown in Figure **8a**, as an output, which identifies the decisions that are within the scope of the current decision making process.

5 The collaborative decision platform also uses input from the decision application to present the users with an initial influence diagram, which the users confirm or modify. The influence diagram identifies the critical uncertainties or risks, the decisions and the values that are important to the users, and it displays the relationships among them. The users confirm or modify the influence diagram. The
10 collaborative decision platform produces the resulting influence diagram **802**, shown in Figure **8b**, as another output. Note that a directory of information sources **803** is included with the influence diagram.

 The users are allowed to modify the influence diagram and the decision
15 hierarchy only to the extent that the modifications were anticipated by the author of the application. This restriction assures that the alternative strategies that are defined in the Alternatives process can be analyzed with the spreadsheet provided by the decision application.

20 In the Alternatives process, the collaborative decision platform uses input from the decision application to present the users with an initial strategy table that is consistent with the decision hierarchy, which the users confirm or modify. One or more strategy names and their corresponding definitions on the strategy table are also presented to the users. The users may confirm or modify the strategies,
25 including developing new strategies. The resulting strategy alternatives are displayed on strategy tables **804**, as shown in Figures **8c** and **8d**.

 In the Analysis process, ranges on each uncertainty or risk **806**, as shown in Figure **8e**, are input from the specified decision-relevant databases **803** of Figure **8b**.
30 The users may confirm or modify the ranges. The collaborative decision platform takes as input the spreadsheet residing in the decision application that includes

equations and data relating the decisions and uncertainties to the value, which in this case is profit. The collaborative decision platform uses the spreadsheet, strategies and uncertainty ranges to produce the tornado diagram **808** and decision sensitivity **810** shown in Figures **8f** and **8g**.

5

In the connection process, the users define on the strategy table **804** a new, more valuable “hybrid” strategy **811** that combines the most valuable alternatives from each of the initially defined alternative strategies, as shown in Figure **8h**. In defining this hybrid strategy, the users are relying heavily on the shared insight and understanding from the tornado diagram and decision sensitivity. The collaborative decision platform uses the spreadsheet from the decision application to calculate the value of the hybrid **812**, as shown on Figure **8i**.

Figure **9** illustrates a method **900** for affording customer-centric collaborative decision-making in a business-to-business framework. In one embodiment, the method **900** may be carried using the collaborative decision platform set forth hereinabove. In the alternative, the present method may be executed using any other desired architecture.

Initially, in operation **902**, a minimum set of attributes is defined. Thereafter, first information regarding each of the minimum set of attributes is received from a receiving business. Note operation **904**. Second information is then received regarding proposed products or services in terms of the minimum set of attributes, as indicated in operation **906**. Such second information is received from a supplying business.

In use, a decision process is executed based on the first information and the second information as to which products or services is suitable for the receiving business. Note operation **908**. The present embodiment thus provides a customer-centric collaborative protocol that defines the minimum informational requirement for collaborative decision-making between enterprises (B2B).

The customer-centric collaborative protocol exploits a commonality in the attributes of the value structure of many enterprises that is sufficient to assess the implications of many decisions. An illustrative minimum set of attributes could include: price, sales, variable cost, fixed cost and investment. For many strategic decisions, knowing the affect of the decision on these attributes enables the enterprise to make an informed decision.

There are well-defined algorithms for the hierarchical expansion of each of the attributes in the minimum set in the event additional detail is required. When more detail is required, it may be nested within the higher level attributes. An expanded set of attributes could include: price, market share, market size, labor cost, material cost, administrative cost, annual expenses, working capital, plant and equipment, etc. The protocol or structure of the informational requirement is identical for a wide range of enterprises and many decisions within those enterprises, but the relative value of each attribute will be different. Figure 9a illustrates a table 920 showing various customer-centric collaborative (C^3) attributes, and the value of a one- percent increases of such attributes in two different industries.

In accordance with the present invention, the supplying enterprise is required to describe its alternatives in terms of their effect on the value attributes that matter to the receiving enterprise. Figure 10 illustrates a table 1000 showing such an effect on the value attributes.

Figure 11 is a schematic diagram showing the customer-centric collaborative (C^3) protocol. As set forth hereinabove, the protocol defines the minimum informational requirement for decision making between enterprises (B2B). The value of improvements of each of the attributes is specified for a receiving enterprise 1100. It should be noted that attributes are easily calculable for enterprises that focus on profit. However, even for enterprises that are not focused on profit, these same attributes are of critical importance. A supplying enterprise 1102 provides one

or more alternative “attribute bundles” that describe products and services it is willing to deliver in terms of the attributes that matter to the receiving enterprise.

An attribute bundle specifies how much of each attribute will be provided. It should be understood that the attribute levels can be assessed with little difficulty, using for example an influence diagram. A decision module **1104** may then execute the method **900** of Figure 9. Figure **12** illustrates a first example **1200** of the embodiment set forth in Figure **11**. As shown, an industry independent, open and scalable platform may be provided that uses the customer-centric collaborative protocol for real-time, remote collaborative decision making among enterprises. The customer-centric collaborative protocol can be used with an architecture or process that supports collaborative decision-making, such as a collaborative decision platform **1202** which is similar to that set forth hereinabove.

Figures **13** and **14** illustrate a second and third example **1300** and **1400** of the embodiment set forth in Figure **11**. In the embodiment of Figure **13**, the customer-centric collaborative protocol and an architecture or process that supports collaborative decision making, such as the collaborative decision platform, may together enable an open, scalable, industry independent process for real-time, remote decision-making between a receiving enterprise **1302** and a supplying enterprise **1304**. As shown, the present embodiment may serve to negotiate an agreement **1306** to purchase and deliver the highest value combination of attributes. In a third embodiment shown in Figure **14**, the customer-centric collaborative protocol and an architecture or process that supports collaborative decision making, such as the collaborative decision platform, may together enable an open, scalable, industry independent process for real-time, remote decision-making among a receiving enterprise **1402** and supplying enterprises **1404**. As shown, the present embodiment may serve to negotiate an agreement **1406** to purchase and deliver the highest value combination of attributes

Figure **15** illustrates a fourth examples **1500** of the embodiment set forth in Figure **11**, where an industry independent, open and scalable platform is provided for

B2B exchange of existing goods and services that are not commodities. In other words, an effective platform for a non-commodity exchange is afforded.

As shown in Figure 15, the alternative attribute bundles **1501** can be offered
5 by different enterprises **1504** and need not be commodities, but rather may differ on
the level offered of every attribute. It should be understood that commodities are
goods and services that can be defined without the information about or the
interaction of the customer. As shown in Figure 15, the customer-centric
collaborative protocol and an architecture or process that supports collaborative
10 decision making, such as the collaborative decision platform, together enable an
industry-independent, open and scalable platform for the real-time B2B exchange of
existing goods and services **1506** that are not commodities.

Figure 16 illustrates a fifth example **1600** of the embodiment set forth in
15 Figure 11, where an industry independent, open and scalable platform is provided for
B2B real-time collaboration in the definition of future, non-existent goods and
services. As shown in Figure 16, the alternative attribute bundles **1601** can be
offered by different enterprises and need not exist. Rather, they may represent
proposals to deliver goods and services that could be developed in the future. As
20 shown, an agreement **1606** may be negotiated to deliver the highest value
combination of attributes in the future. Figures 17 and 18 illustrate sixth and
seventh examples **1700** and **1800**, respectively, of the embodiment set forth in
Figure 11, where a new business design is provided that assists B2B enterprises in
measuring the value creation for its customers.

25

As shown in Figure 17, the customer-centric collaborative protocol and
publicly available information **1702** may together enable a new business design that
assists B2B enterprises in measuring the prospective value creation for its customers.
With reference to Figure 18, a particular embodiment of that business design could
30 include the customer-centric collaborative protocol, publicly available information

1702and a collaborative decision platform **1802**, which together enable a new business design that assists B2B enterprises in measuring the retrospective value creation for its customers.

An exemplary application of a customer-centric collaborative protocol
5 utilizing the collaborative decision platform for the selection of a strategy for “Customer Relationship Management (CRM)” will now be set forth. In particular, the present B2B example relates to a receiving enterprise desirous of an improved CRM strategy and a supplying enterprise capable of delivering alternative CRM strategies.

10

In this case during the Framing process, the receiving enterprise provides the policies, which constrain the strategic alternatives. The supplying enterprise demonstrates its experience by offering a list of strategic decisions. The receiving enterprise believes that two of the decisions are tactical, i.e. can be made later.

15 Figure **19** illustrates the resulting decision hierarchy **1900** developed collaboratively and asynchronously. Figure **20** shows the influence diagram **2000**, which identifies the critical uncertainties, the strategic decisions and the attributes **2020** that are of value to the receiving enterprise and which display the relationship among them. For two of the attributes, more detail is required and the higher level attributes are
20 expanded hierarchically in those areas **2100** and **2200**, as shown in Figures **21** and **22**, respectively.

During the Alternatives process, three alternative strategies **2300**, **2302**, and **2304** are defined collaboratively on a strategy table in terms of the strategic
25 decisions, as shown in Figure **23a**, **23b** and **23c**, respectively. The strategy table is developed remotely and asynchronously. The strategies are developed in the physical presence of both enterprises.

In the Analysis process, the supplying enterprise uses information from its
30 database to assess the range of effect that the "Revenue Growth" strategy will have on each of the attributes **2410**. Note **2400** in Figure **24**. Similar assessments are

made for each of the other strategies. The receiving enterprise may establish its value for changes in each of the attributes as shown in the table **2500** of Figure **25**.

5 The table **2600** in Figure **26** shows the calculations performed inside the collaborative decision platform when the customer-centric collaborative protocol is used. As shown, the value of an alternative to the client can be estimated by multiplying the improvement in each attribute by the customer's value for changes in that attribute.

10 The remarkable simplicity of these calculations enables shared insight into the sources of risk and sources of value, which is displayed in the tornado diagram **2700** and decision sensitivity **2800** for each of the alternative strategies, as shown in Figures **27** and **28**, respectively. It should be noted that different solutions might be appropriate for clients in different industries because of different client values for the
15 C³ attributes.

Using the shared understanding of the sources of risk and value in the initially defined alternative strategies, the supplying and receiving enterprise collaborate in developing a new, more valuable "hybrid" strategy **2900**, as shown in
20 Figure **29**. Its corresponding decision sensitivity **3000** of Figure **30** compares the total value of the hybrid strategy with the initially defined alternatives and identifies its sources of value.

While various embodiments have been described above, it should be
25 understood that they have been presented by way of example only, and not limitation. Thus, the breadth and scope of a preferred embodiment should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

30

CLAIMS

What is claimed is:

- 1 1. A method for providing a collaborative decision platform adapted to run on a
2 computer, comprising the steps of:
3 (a) executing an application capable of performing decision logic;
4 (b) retrieving information from a database in accordance with the decision logic;
5 (c) receiving information from a user in accordance with the decision logic
6 utilizing a user interface;
7 (d) processing the information utilizing the decision logic; and
8 (e) wherein steps (a)-(d) are carried out by a collaborative decision platform
9 capable of accomplishing steps (b)-(d) for different purposes by executing
10 different applications each capable of performing different decision logic.
- 1 2. The method as recited in claim 1, wherein the collaborative decision platform
2 communicates with the application through a standard interface protocol.
- 1 3. The method as recited in claim 1, wherein the information is retrieved and
2 received via a network.
- 1 4. The method as recited in claim 3, wherein the network is the Internet.
- 1 5. The method as recited in claim 1, wherein the purpose is selected from the
2 group consisting of real estate-related, medical-related, corporate-related, and
3 financial-related.
- 1 6. The method as recited in claim 1, and further comprising the step of
2 collecting data from the decision logic for generating visual displays of a
3 decision hierarchy and an influence diagram.

- 1 7. The method as recited in claim 6, wherein the user is prompted to approve
2 the visual displays of the decision hierarchy and the influence diagram.
- 1 8. The method as recited in claim 7, wherein the data includes (a) policies that
2 form boundary conditions associated with the decision logic, (b) strategic
3 decisions to be made, (c) values that are important to the user, (d)
4 uncertainties that may impact the values, and a relationship between (a)-(d).
- 1 9. The method as recited in claim 6, and further comprising the step of creating
2 a strategy table using the data.
- 1 10. The method as recited in claim 8, and further comprising the step of
2 assessing the uncertainties for analysis purposes.
- 1 11. The method as recited in claim 1, and further comprising the step of
2 generating a tornado diagram and decision sensitivity output displays.
- 1 12. The method as recited in claim 1, wherein the decision logic provides
2 potential feasible hybrid themes.
- 1 13. The method as recited in claim 1, wherein the steps (b)-(d) are carried out
2 using universal modules capable of interfacing with different applications.
- 1 14. A computer program product for providing a collaborative decision platform
2 adapted to run on a computer, comprising:
3 (a) computer code for executing an application capable of performing decision
4 logic;
5 (b) computer code for retrieving information from a database in accordance with
6 the decision logic;

- 7 (c) computer code for receiving information from a user in accordance with the
8 decision logic utilizing a user interface;
- 9 (d) computer code for processing the information utilizing the decision logic;
10 and
- 11 (e) wherein computer code segments (a)-(d) are carried out by a collaborative
12 decision platform capable of executing computer code segments (b)-(d) for
13 different purposes by executing different applications each capable of
14 performing different decision logic.

1 15. The computer program product as recited in claim 14, wherein the
2 collaborative decision platform communicates with the application through a
3 standard interface protocol.

1 16. The computer program product as recited in claim 14, wherein the
2 information is retrieved and received via a network.

1 17. The computer program product as recited in claim 16, wherein the network is
2 the Internet.

1 18. The computer program product as recited in claim 14, wherein the purpose is
2 selected from the group consisting of real estate-related, medical-related,
3 corporate-related, and financial-related.

1 19. The computer program product as recited in claim 14, and further comprising
2 computer code for collecting data from the decision logic for generating
3 visual displays of a decision hierarchy and an influence diagram.

1 20. The computer program product as recited in claim 19, wherein the user is
2 prompted to approve the visual displays of the decision hierarchy and the
3 influence diagram.

- 1 21. The computer program product as recited in claim 20, wherein the data
2 includes (a) policies that form boundary conditions associated with the
3 decision logic, (b) strategic decisions to be made, (c) values that are
4 important to the user, (d) uncertainties that may impact the values, and a
5 relationship between (a)-(d).
- 1 22. The computer program product as recited in claim 19, and further comprising
2 computer code for creating a strategy table using the data.
- 1 23. The computer program product as recited in claim 22, and further comprising
2 computer code for assessing the uncertainties for analysis purposes.
- 1 24. The computer program product as recited in claim 14, and further comprising
2 computer code for generating a tornado diagram and decision sensitivity
3 output displays.
- 1 25. The computer program product as recited in claim 14, wherein the decision
2 logic provides potential feasible hybrid themes.
- 1 26. The computer program product as recited in claim 14, wherein computer
2 code segments (b)-(d) are carried out using universal modules capable of
3 interfacing with different applications.
- 1 27. A system for providing a collaborative decision platform adapted to run on a
2 computer, comprising:
3 (a) logic for executing an application capable of performing decision logic;
4 (b) logic for retrieving information from a database in accordance with the
5 decision logic;
6 (c) logic for receiving information from a user in accordance with the decision
7 logic utilizing a user interface;
8 (d) logic for processing the information utilizing the decision logic; and

- 9 (e) wherein logic elements (a)-(d) are carried out by a collaborative decision
10 platform capable of performing logic elements (b)-(d) for different purposes
11 by executing different applications each capable of performing different
12 decision logic.

STRATP001

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A COLLABORATIVE DECISION PLATFORM

ABSTRACT

A system, method and computer program product are afforded for providing a collaborative decision platform adapted to run on a computer. Initially, an application capable of performing decision logic is executed. Information is then retrieved from a database in accordance with the decision logic. Information is also received from a user in accordance with the decision logic utilizing a user interface. The information is then processed utilizing the decision logic. In use, the foregoing steps are carried out by a collaborative decision platform capable of retrieving and receiving the information, and processing such information for different purposes by executing different applications each capable of performing different decision logic.

FIG. 1 is a block diagram of a system 100 for performing decision logic.

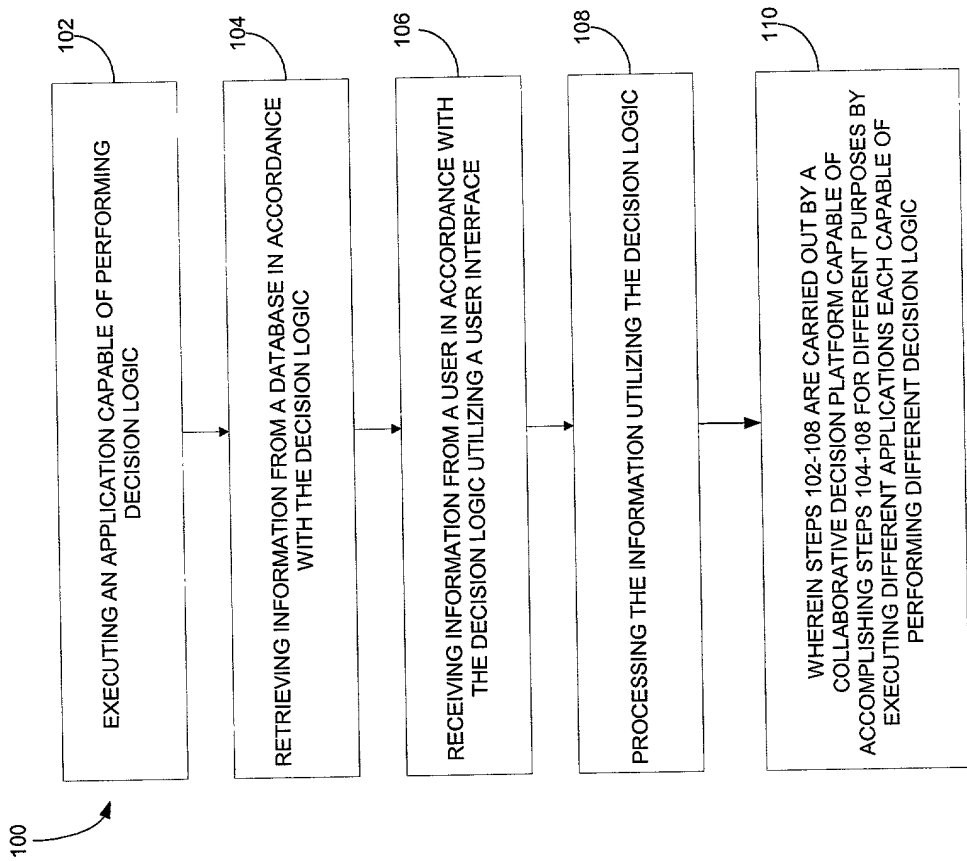
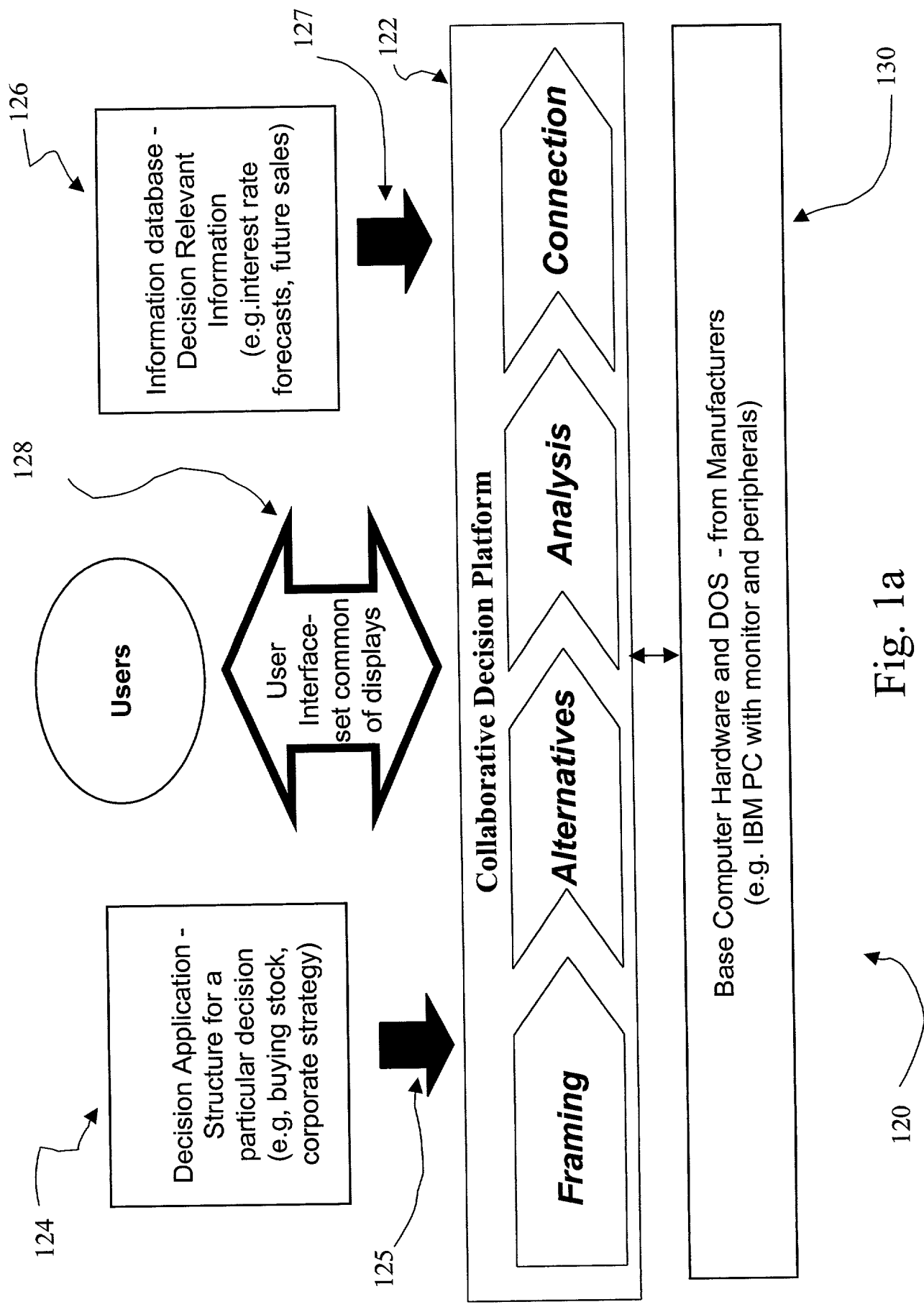


Fig. 1



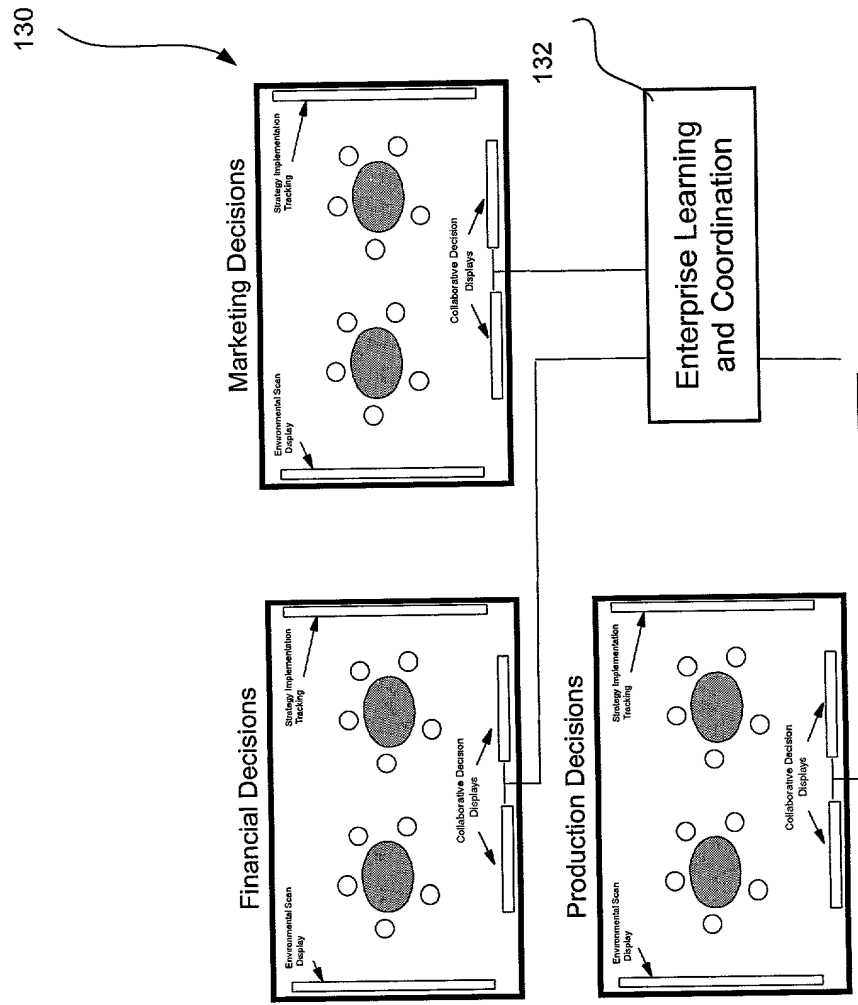


Fig. 1b

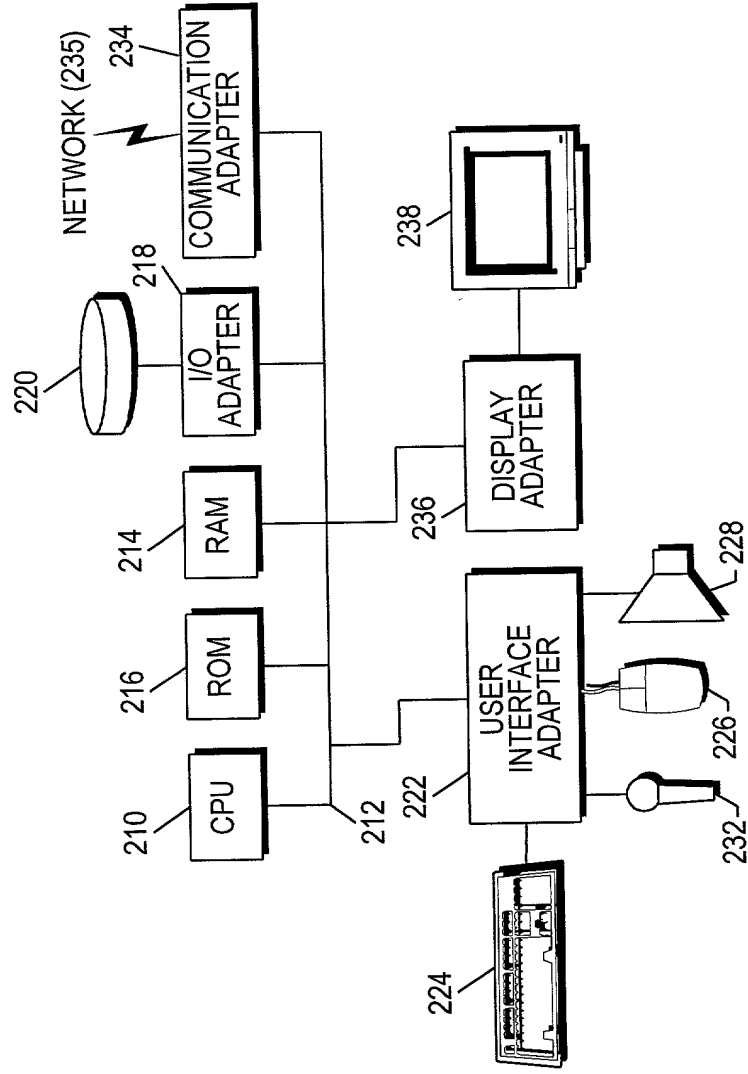


Fig. 2

Fig. 3

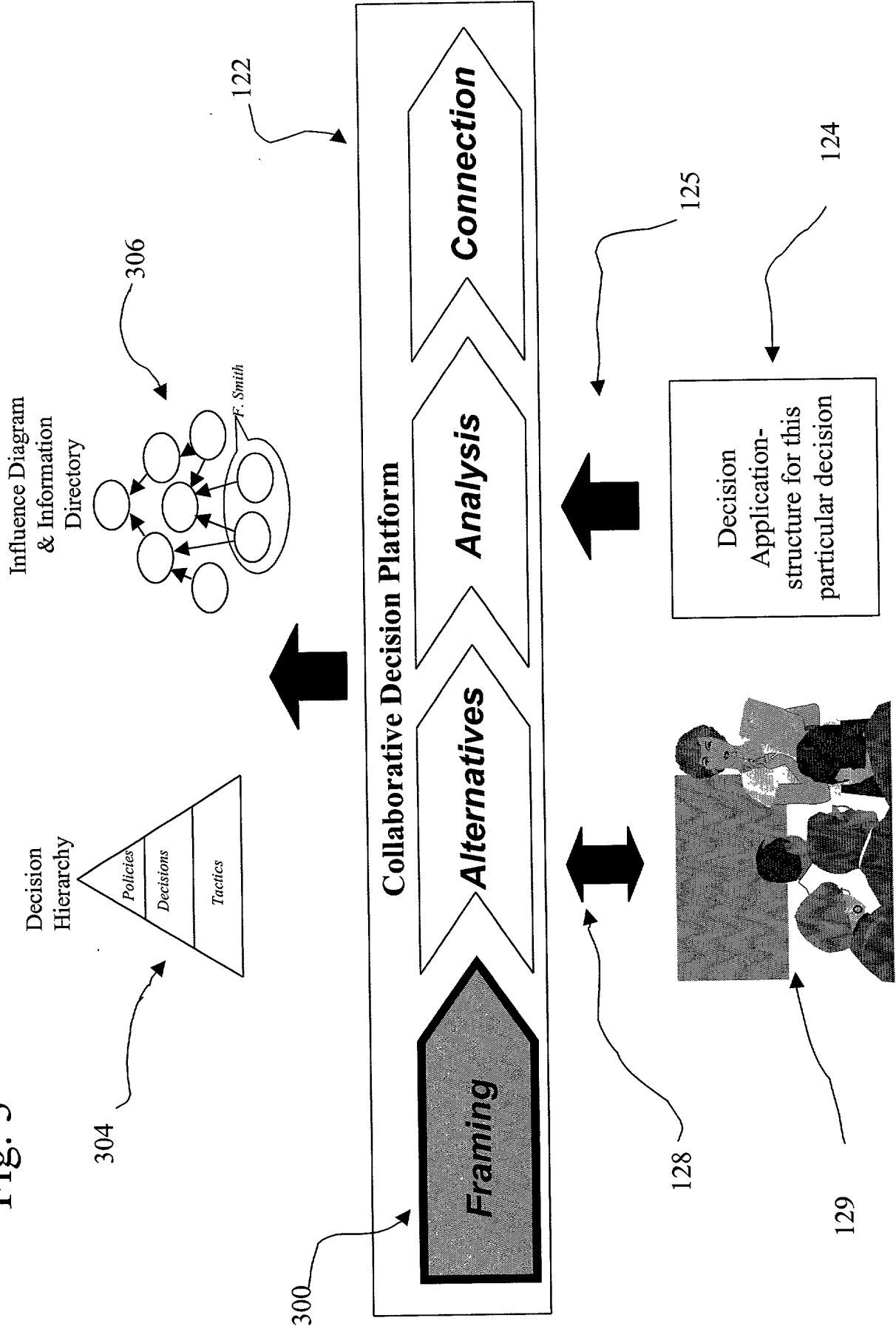


Fig. 3a

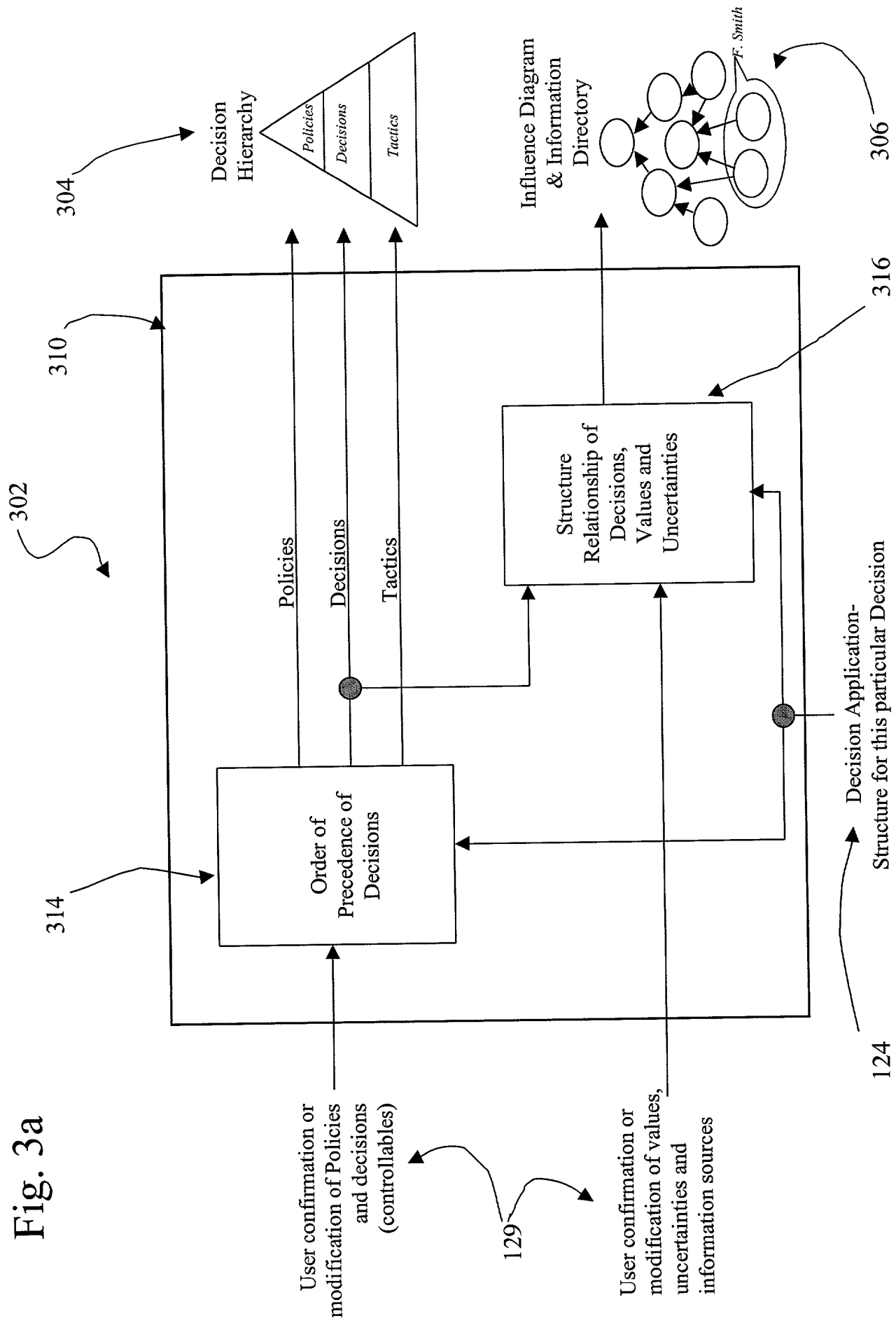


Fig. 4

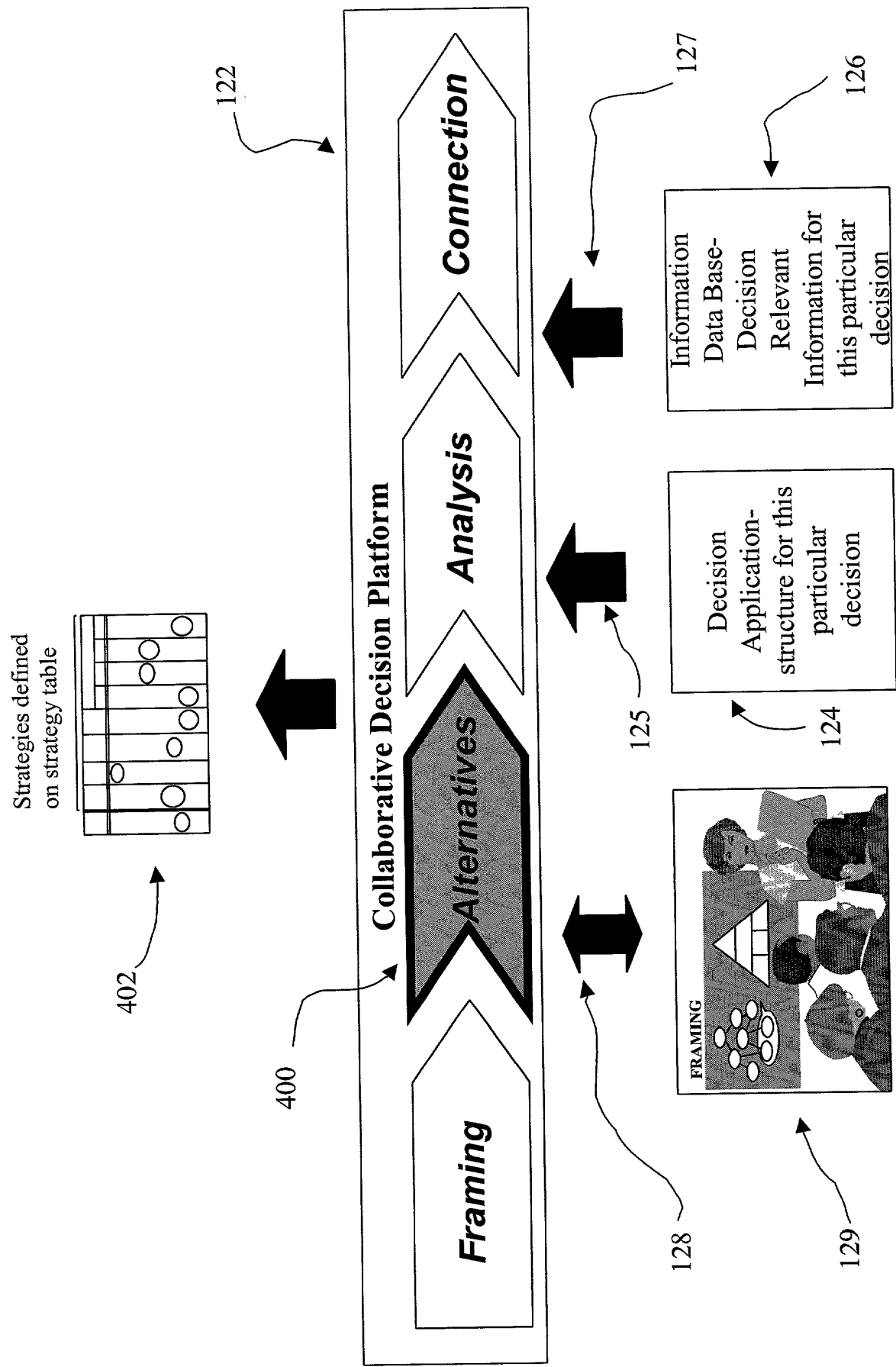


Fig. 4a

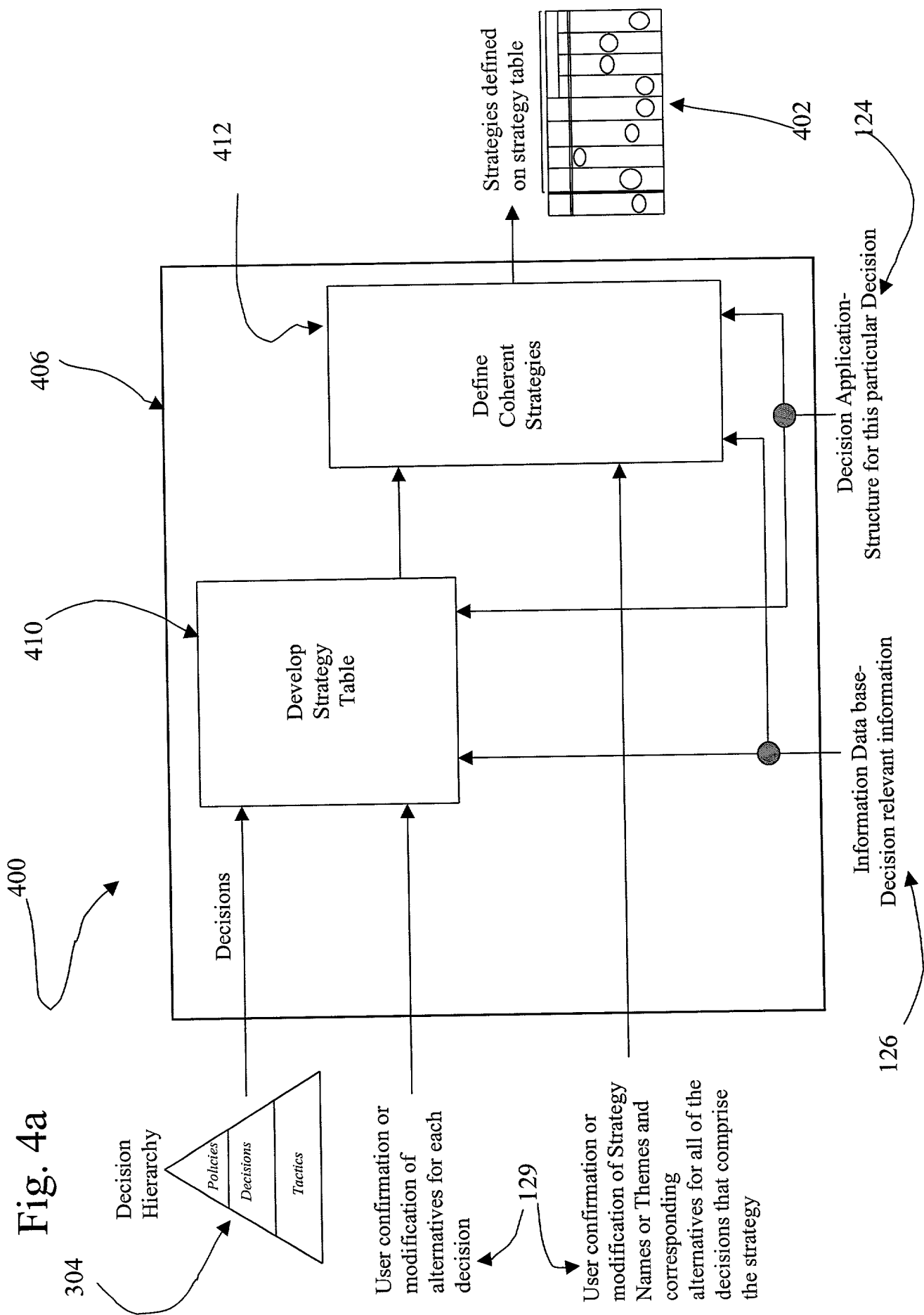


Fig. 5

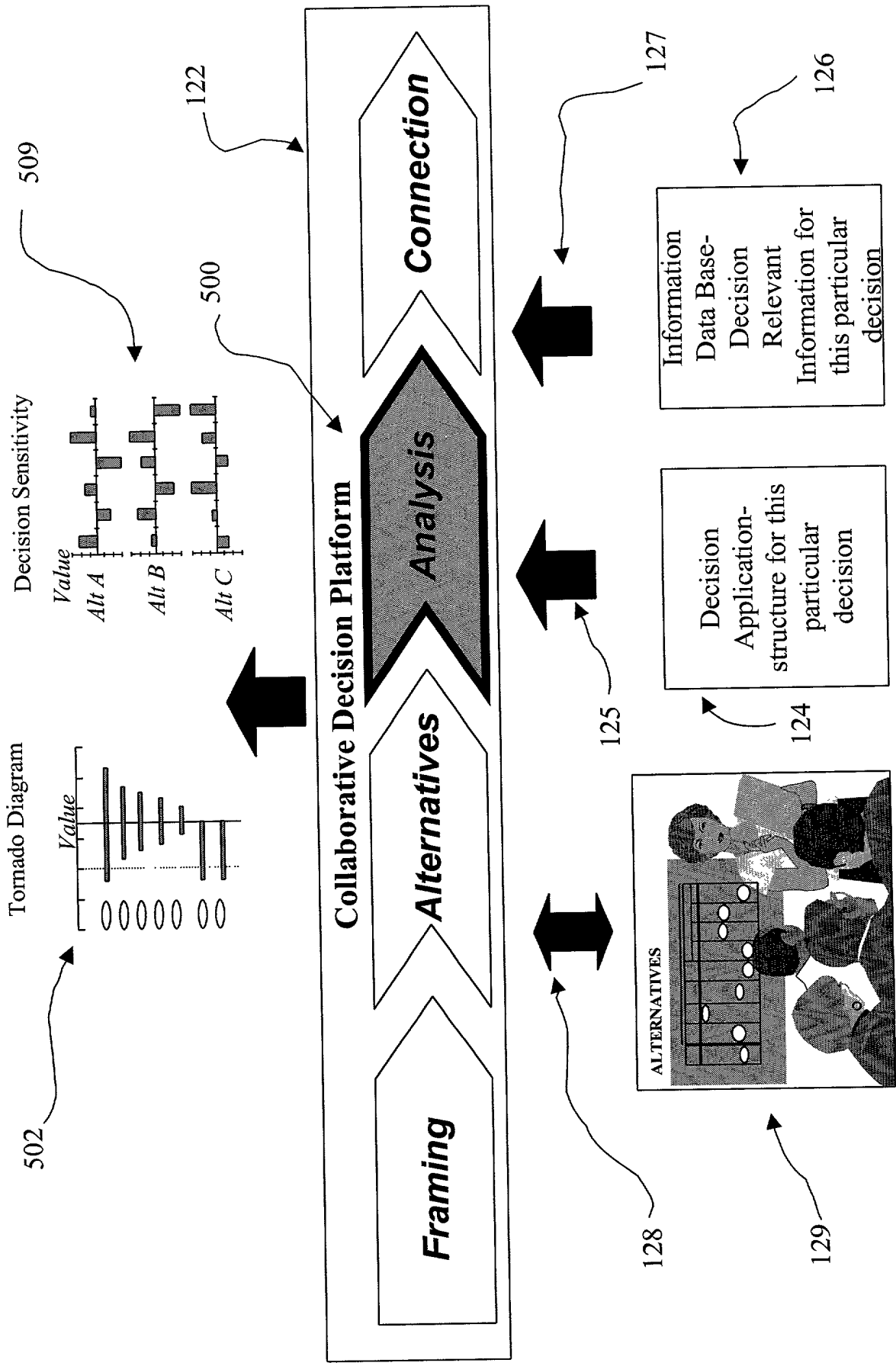


Fig. 5a

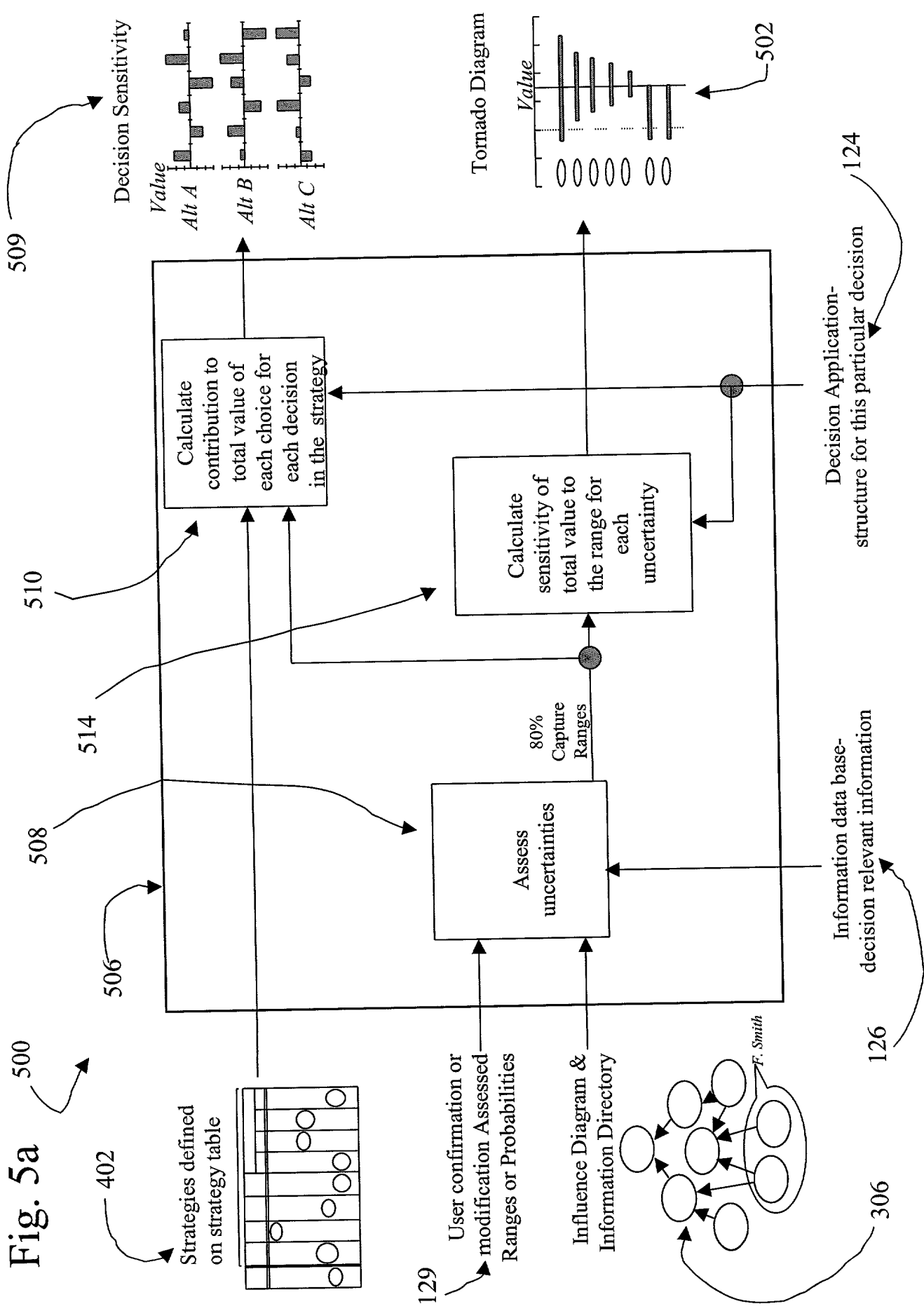


Fig. 6

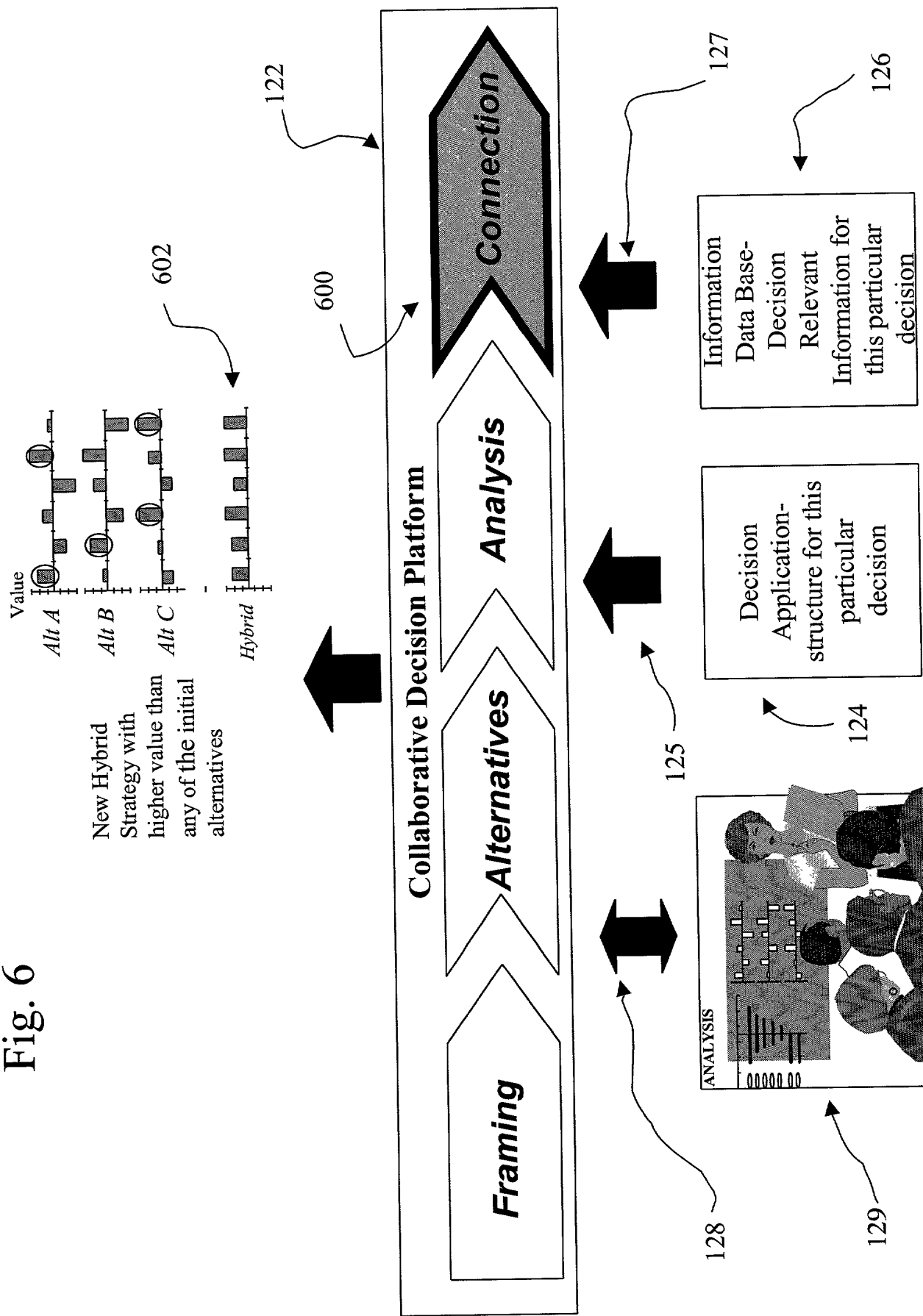


Fig. 6a

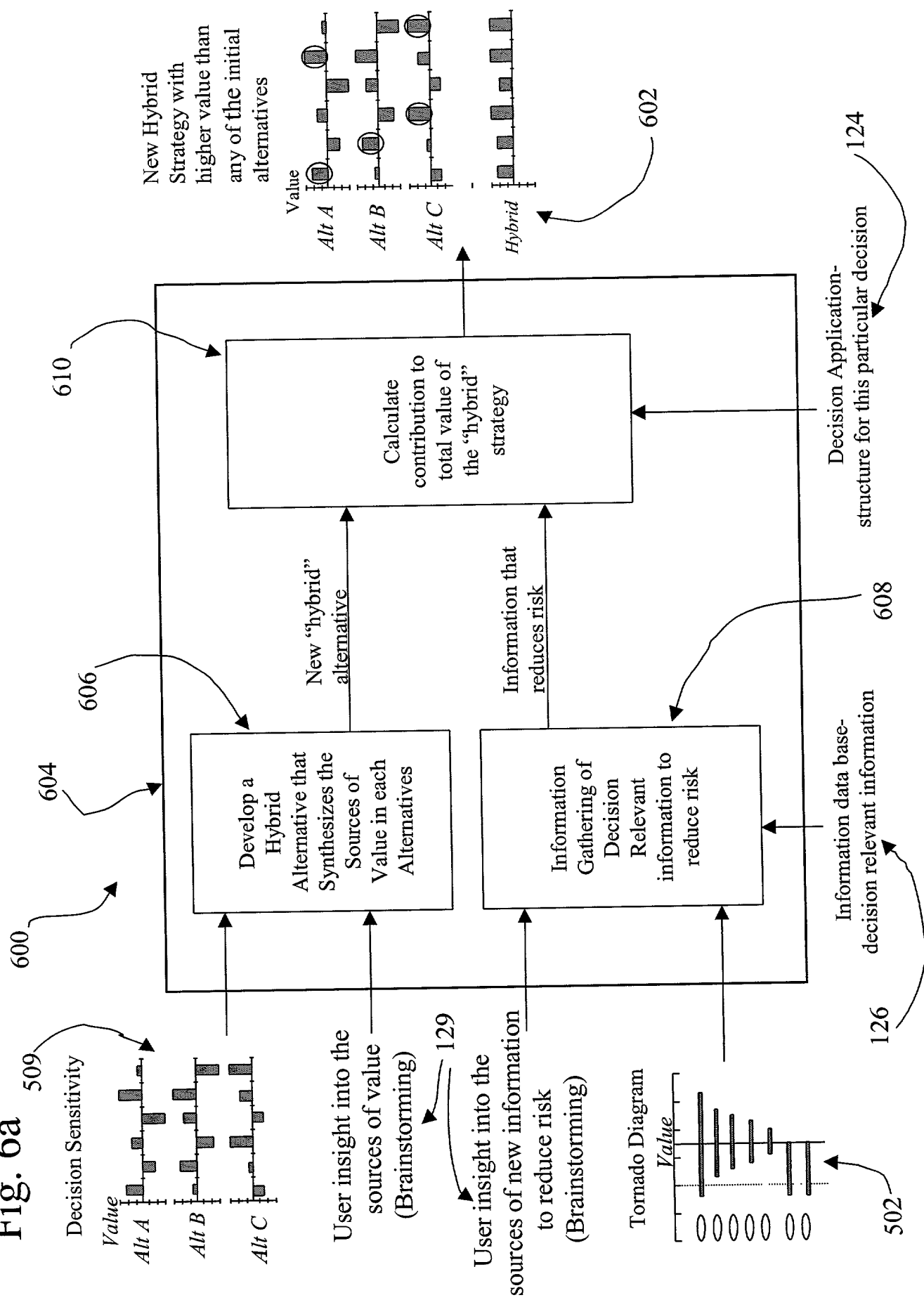
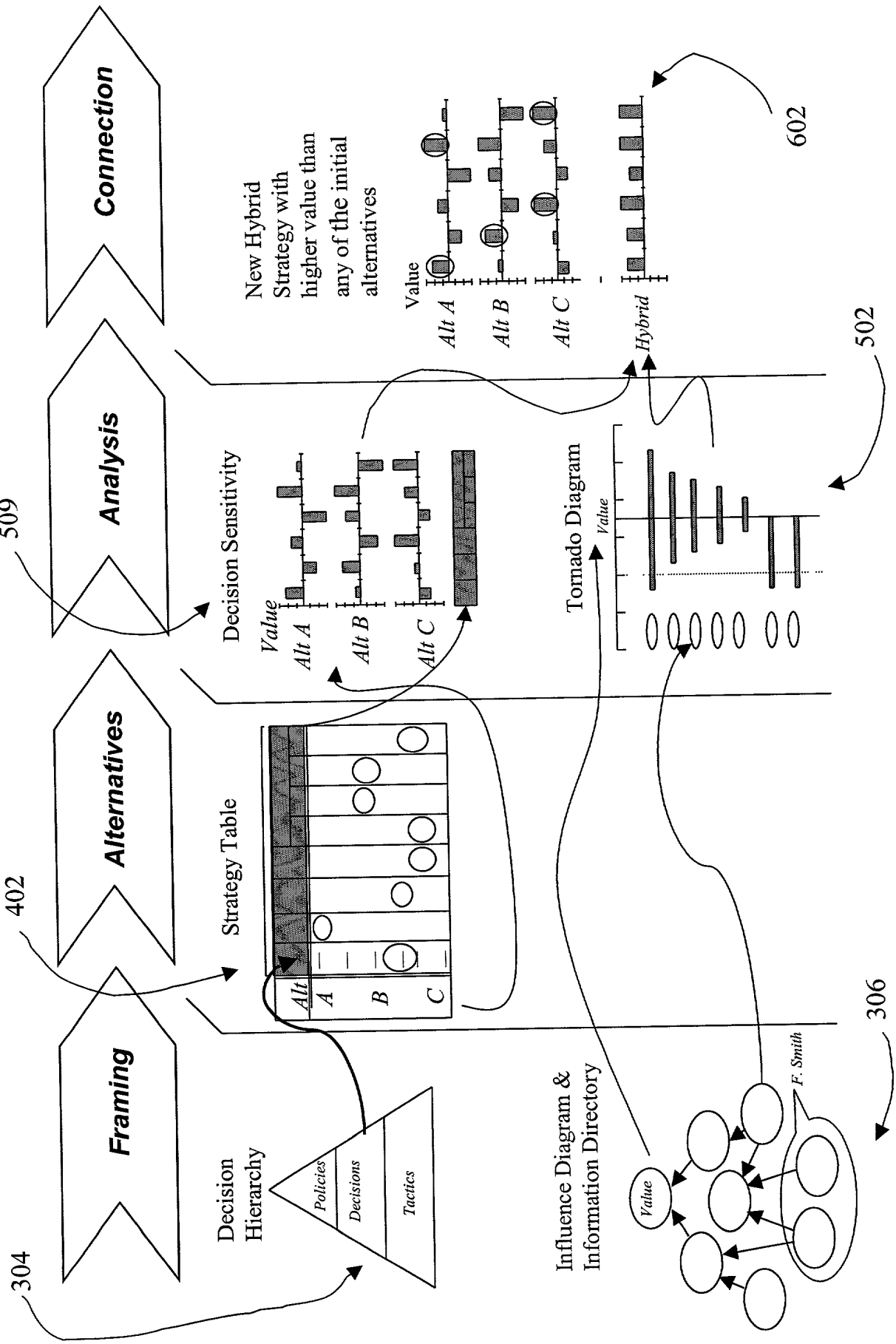


Fig. 7



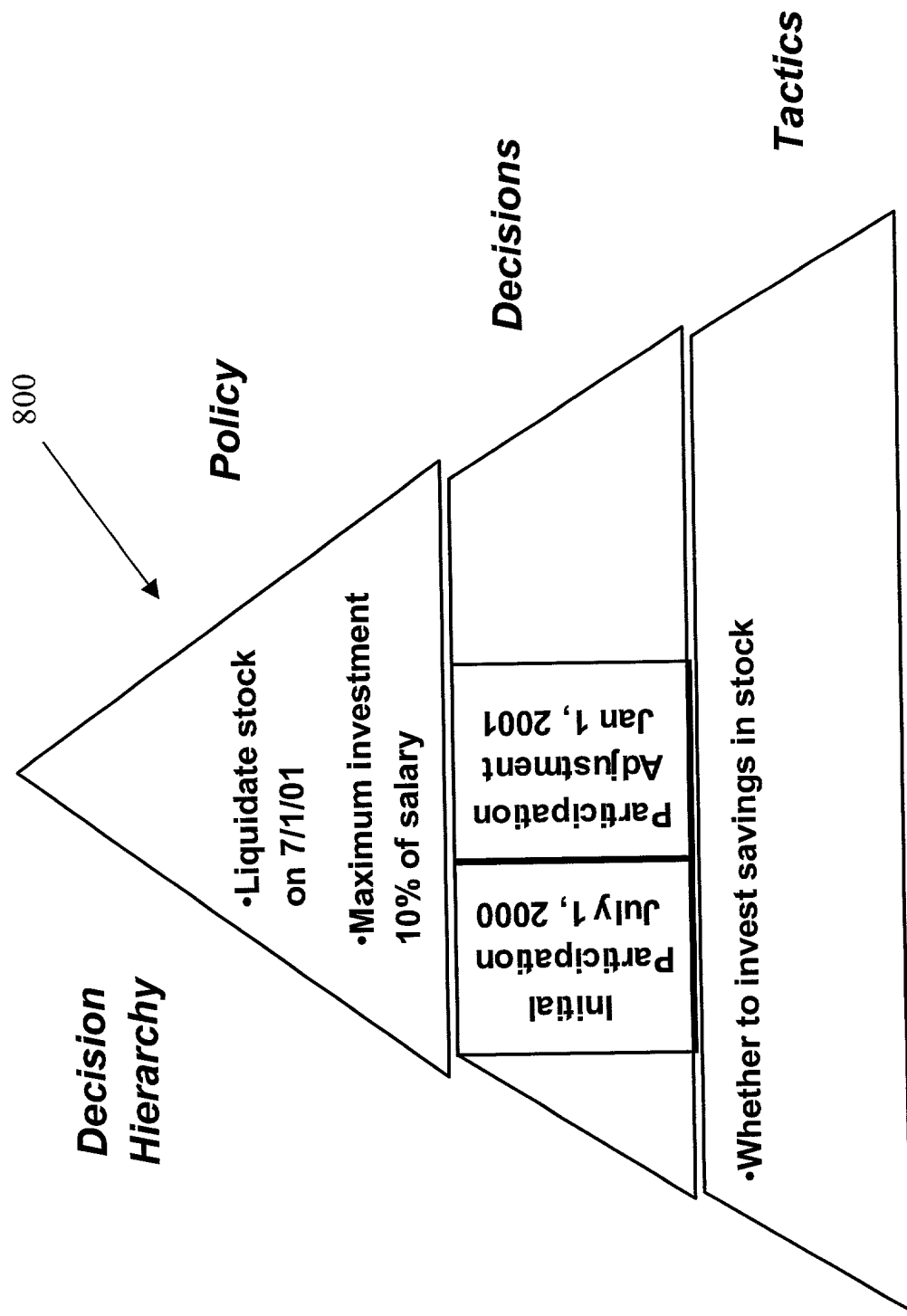


Fig. 8a

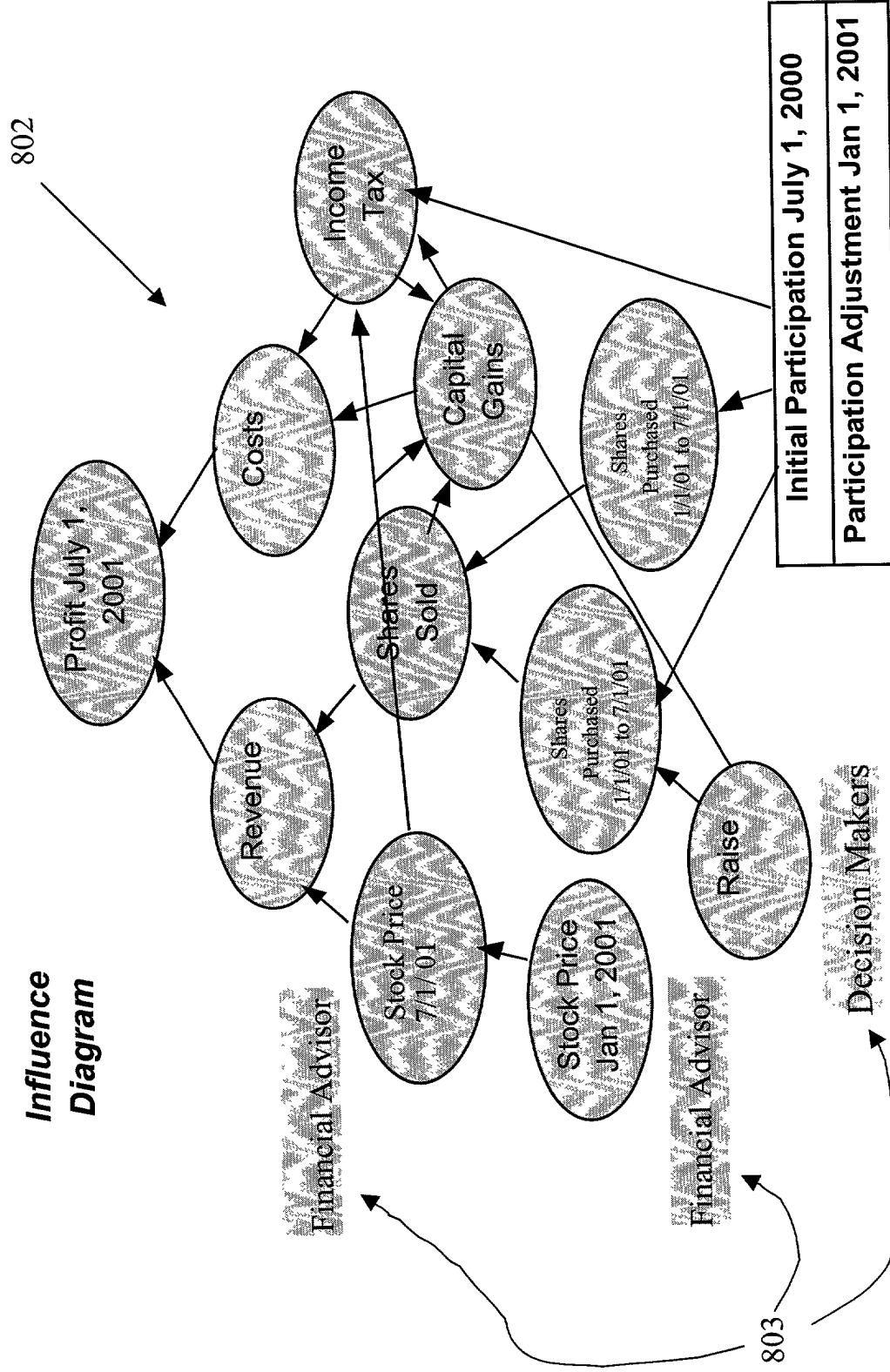
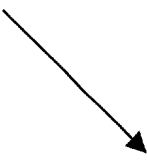


Fig. 8b

Strategy Table		
Strategy Name	Initial Participation July 1, 2000	Participation Adjustment Jan 1, 2001
No Participation	0%	0%
In and Out	5%	5%
Out and In	7%	7%
	10%	10%

Fig. 8c



Strategy Table		
Strategy Name	Initial Participation July 1, 2000	Participation Adjustment Jan 1, 2001
No Participation	0%	0%
In and Out	5%	5%
Out and In	7%	7%
	10%	10%

Fig. 8d

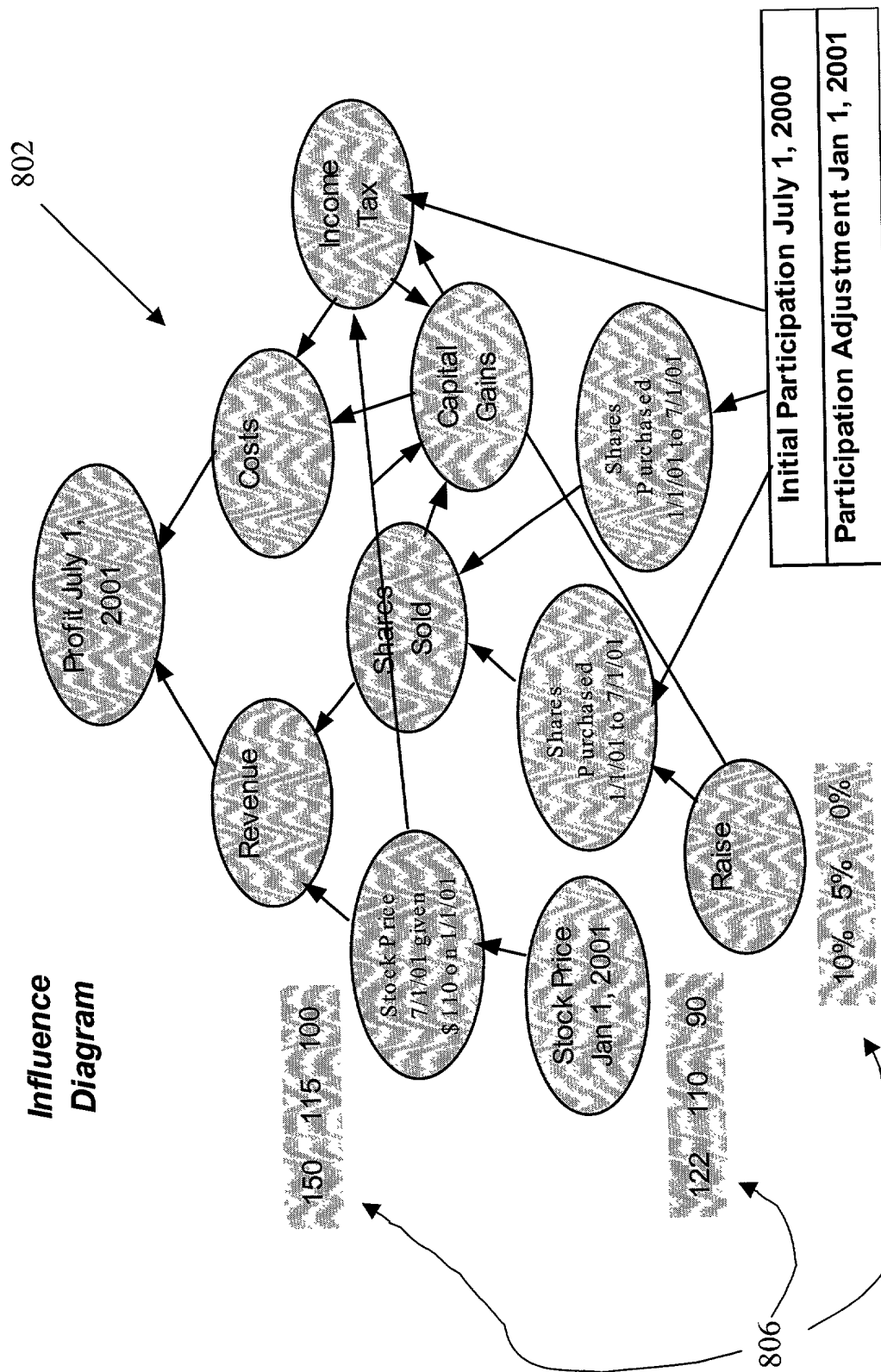


Fig. 8e

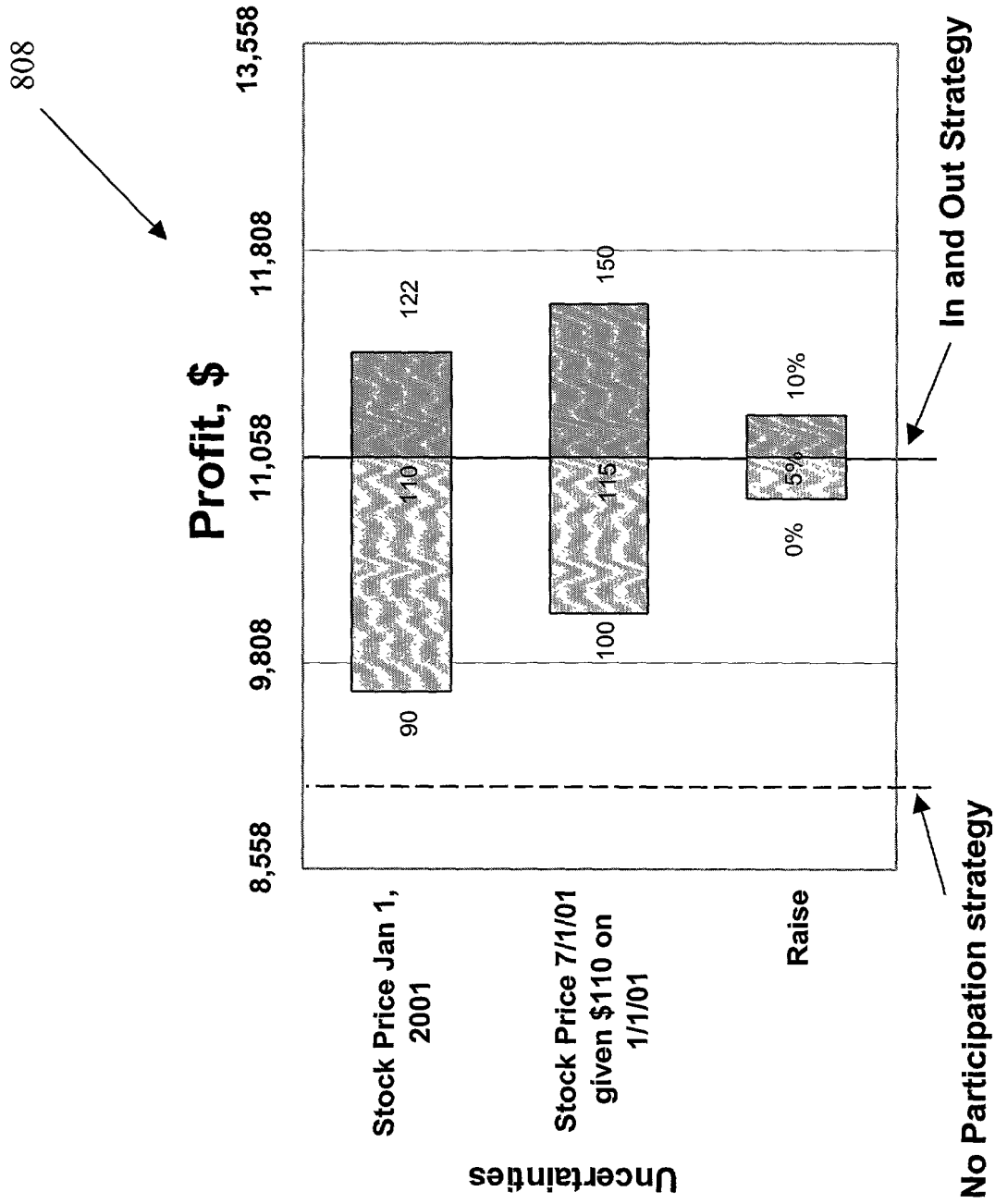


Fig. 8f

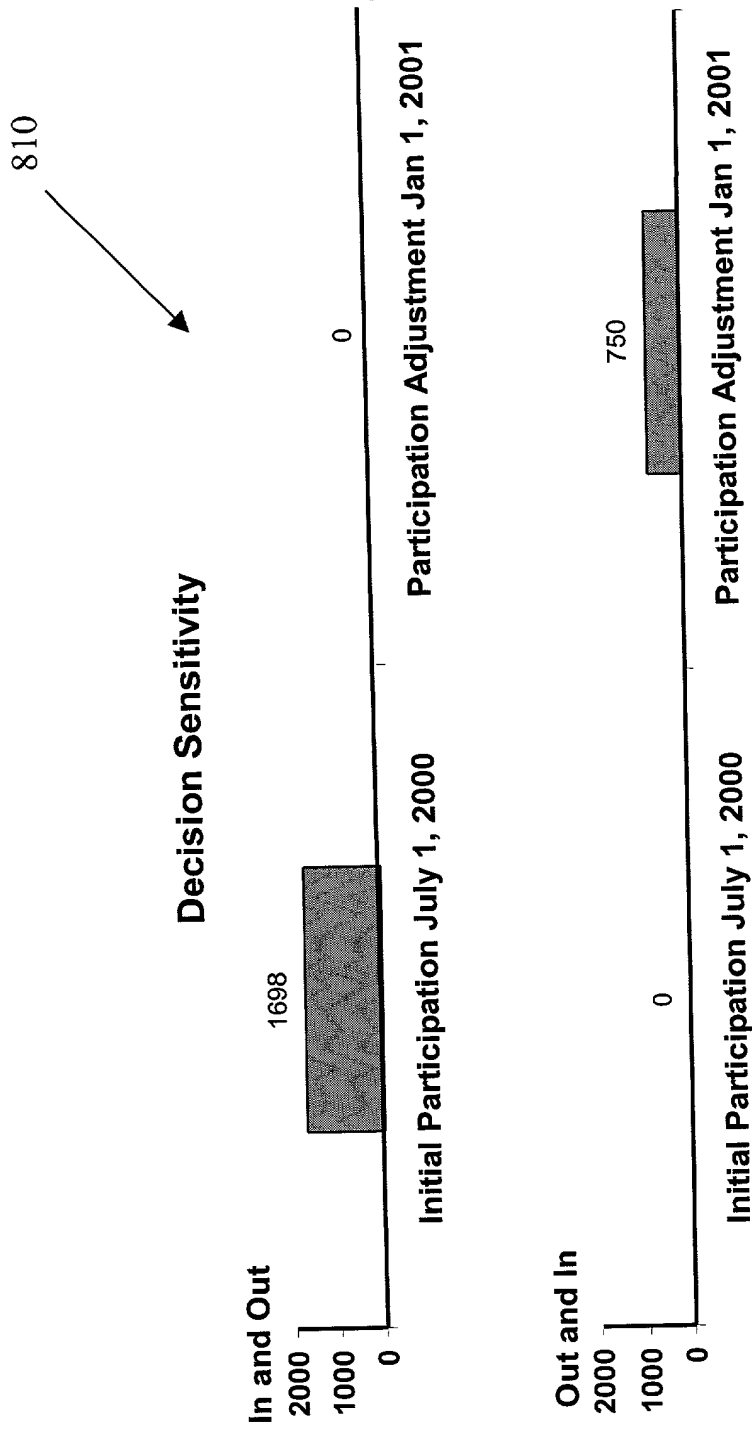


Fig. 8g

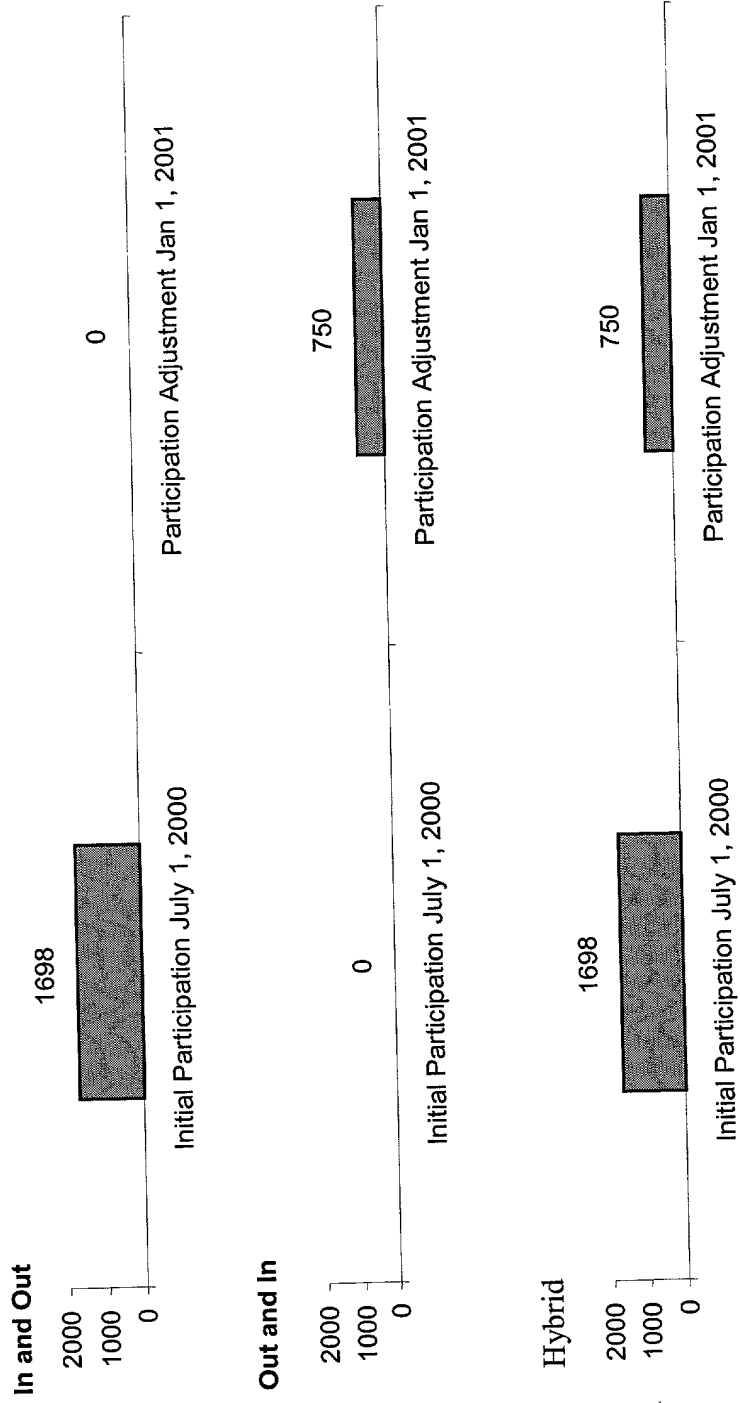
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Strategy Table		
Strategy Name	Initial Participation July 1, 2000	Participation Adjustment Jan 1, 2001
No Participation	0%	0%
In and Out	5%	5%
Out and In	7%	7%
Maximum Contribution	10%	10%

811

Fig. 8h

Decision Sensitivity



812

Fig. 8i

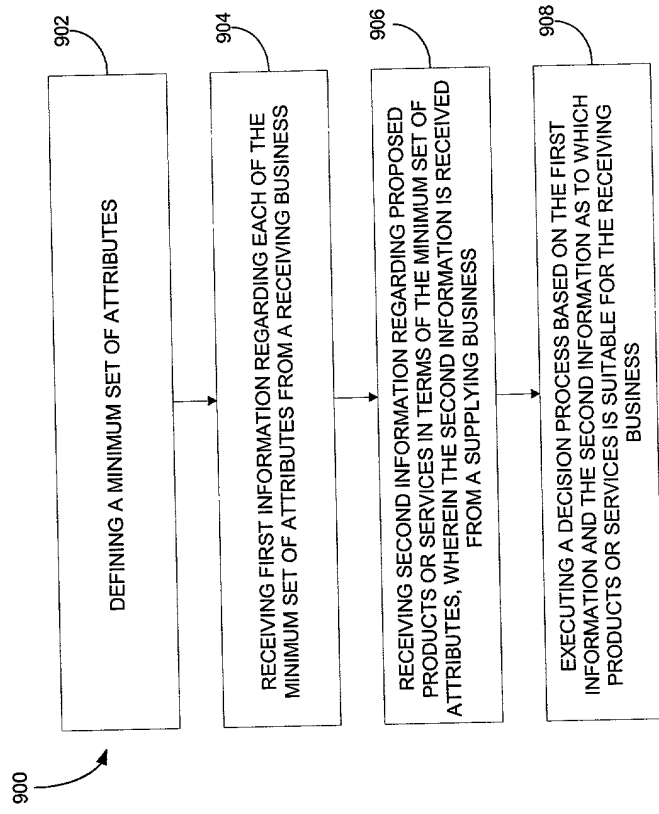


Fig. 9

C ³ Attribute	Value of one percent increase in C ³ Attribute	
	Typical Automotive Manufacturer	Typical Information Technology Company
Revenue (\$M)	200	15
COGS (\$M)	75	35
SG&A (\$M)	20	40
Inventory Turns	12	15
Receivable Turns	2	2

Fig. 9a

1000

C ³ Attribute	Percent increase in C ³ Attribute	
	Alternative 1: Improve Supply Chain Management	Alternative 2: Installation of Customer Relationship Management system
Revenue	0	5
COGS	-4	0
SG&A	-1	0
Inventory Turns	+5	0
Receivable Turns	+1	+2

Fig. 10

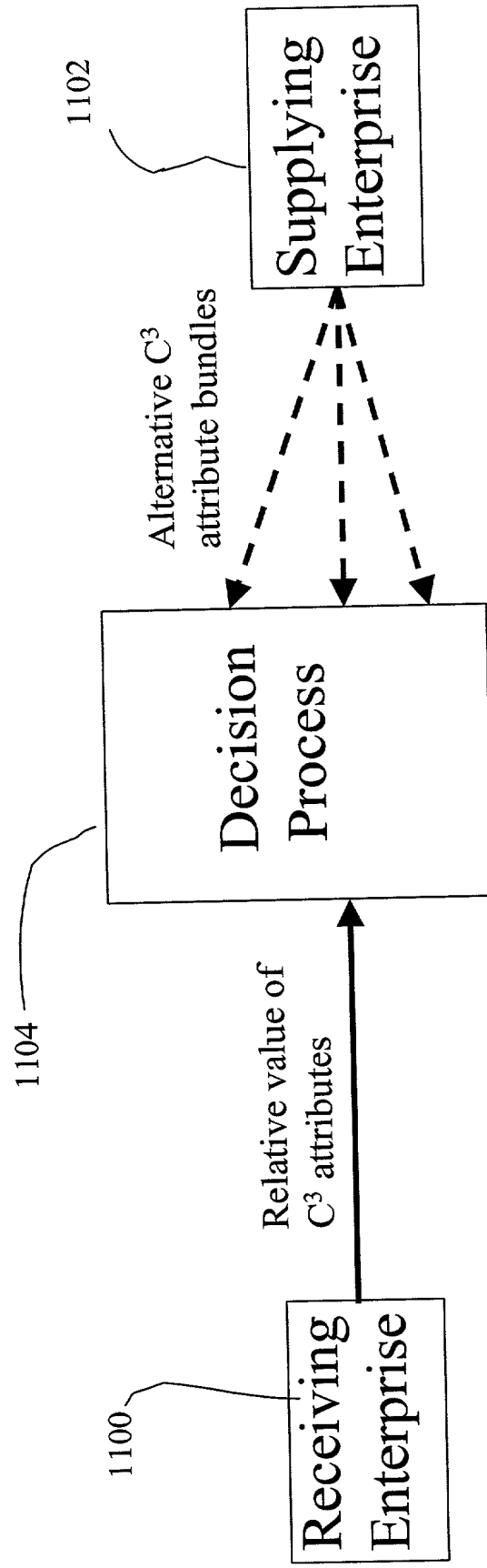


Fig. 11

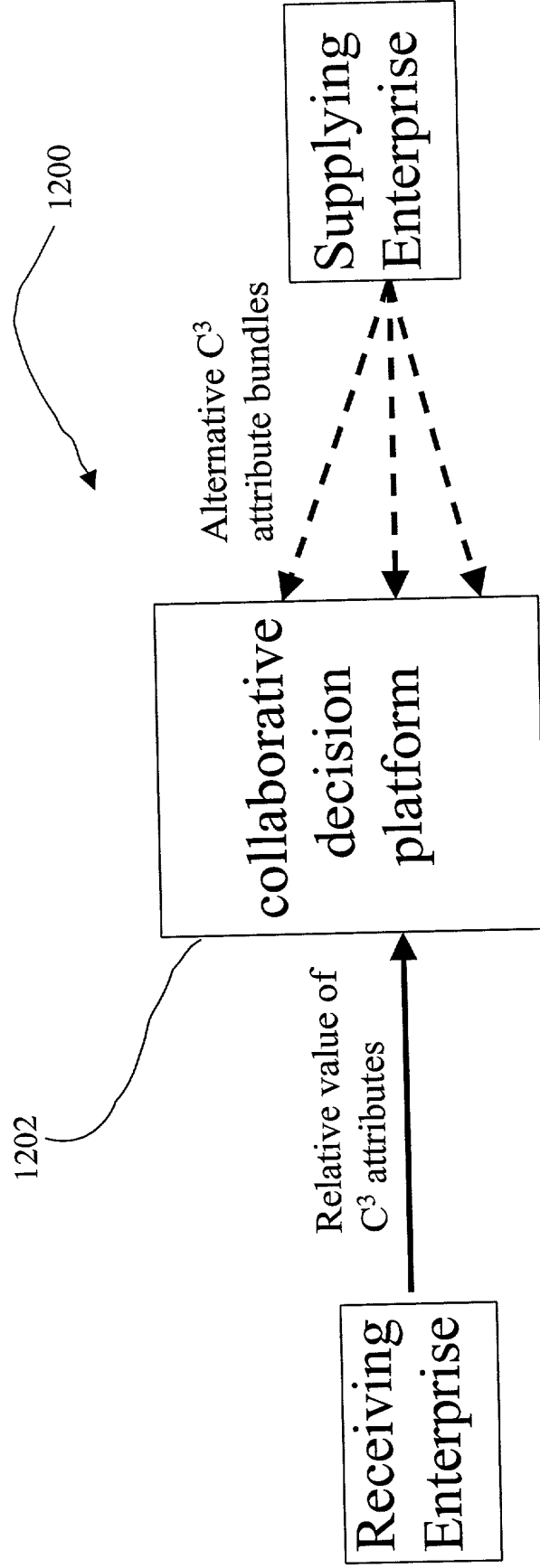


Fig. 12

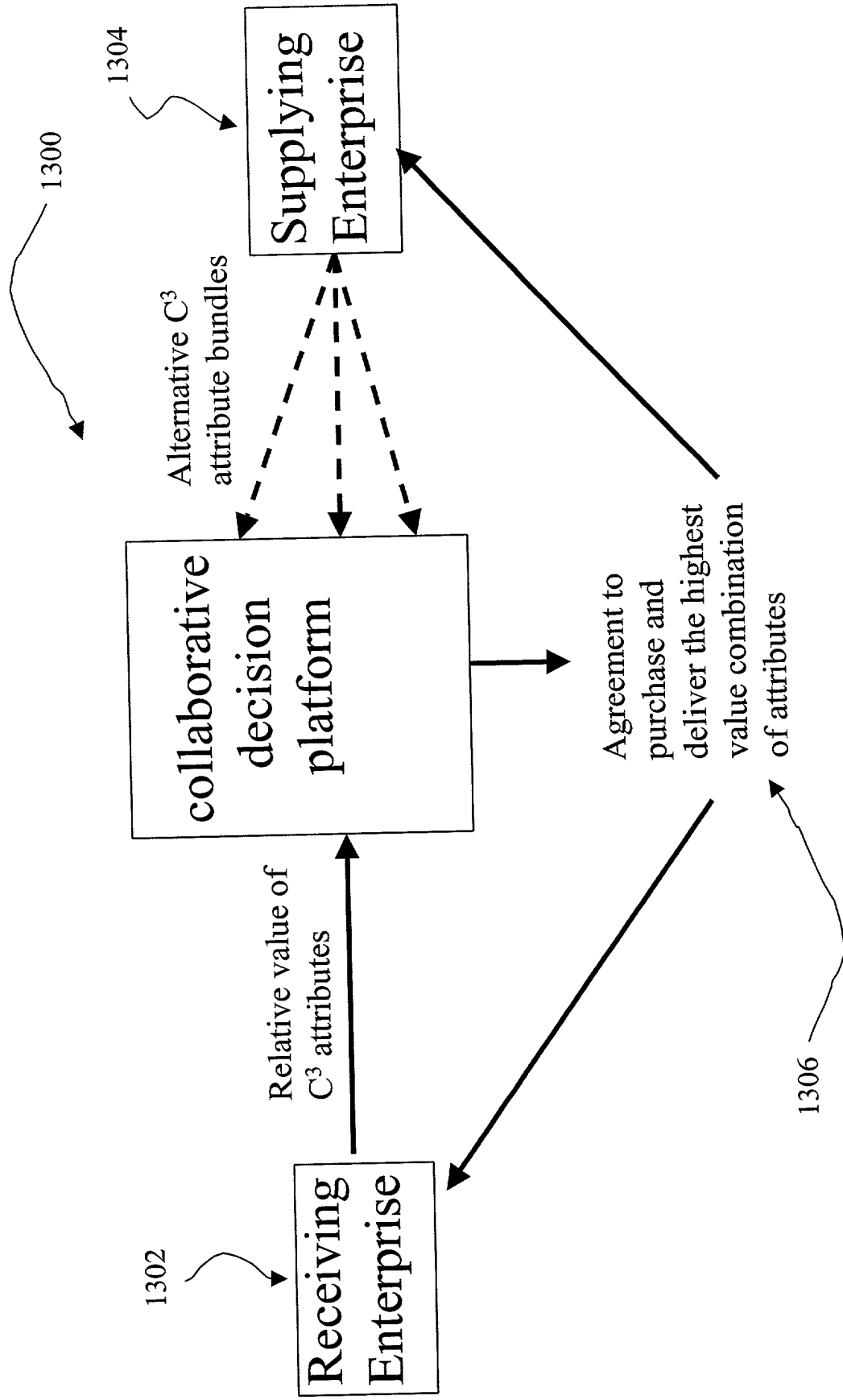


Fig. 13

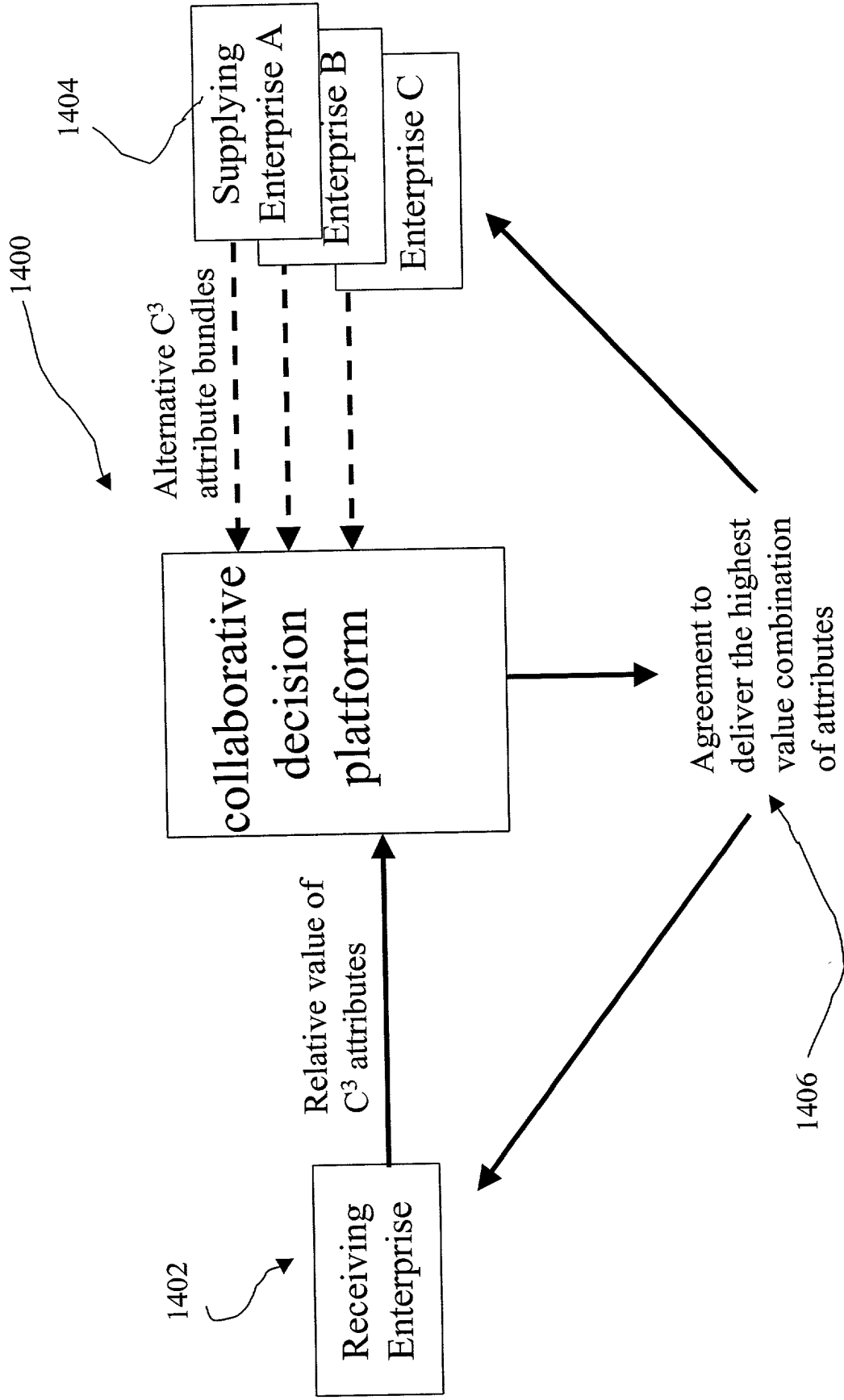


Fig. 14

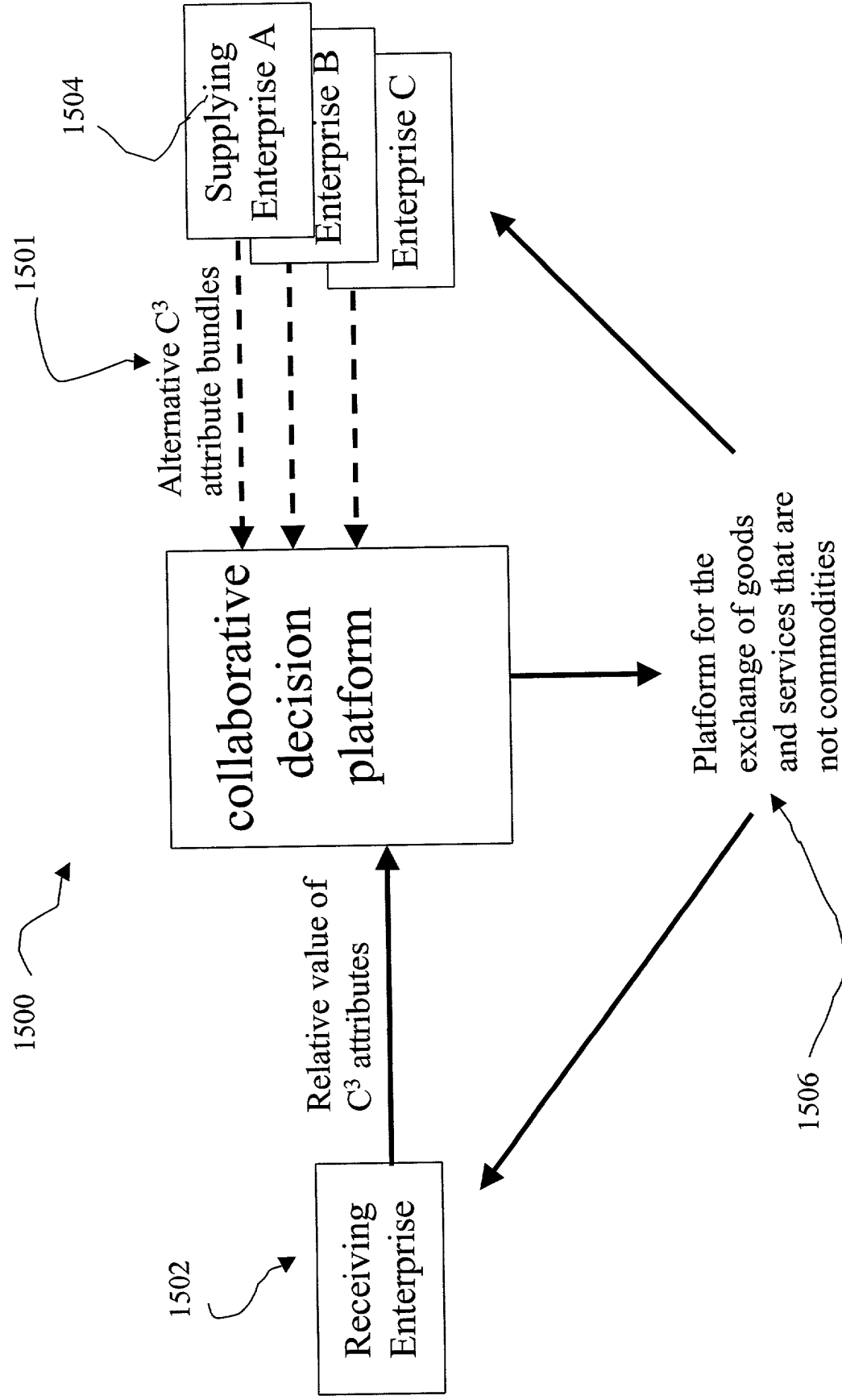


Fig. 15

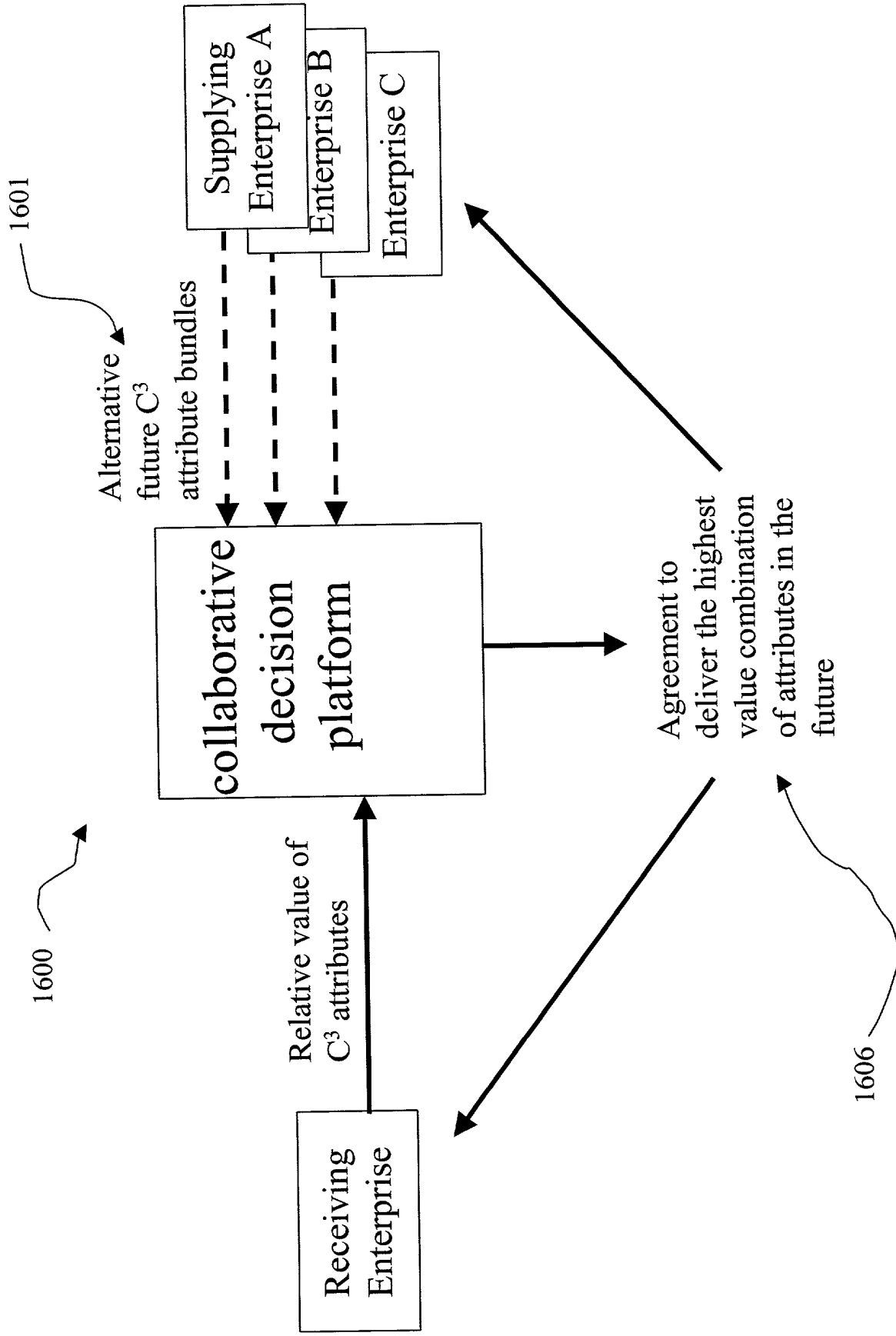


Fig. 16

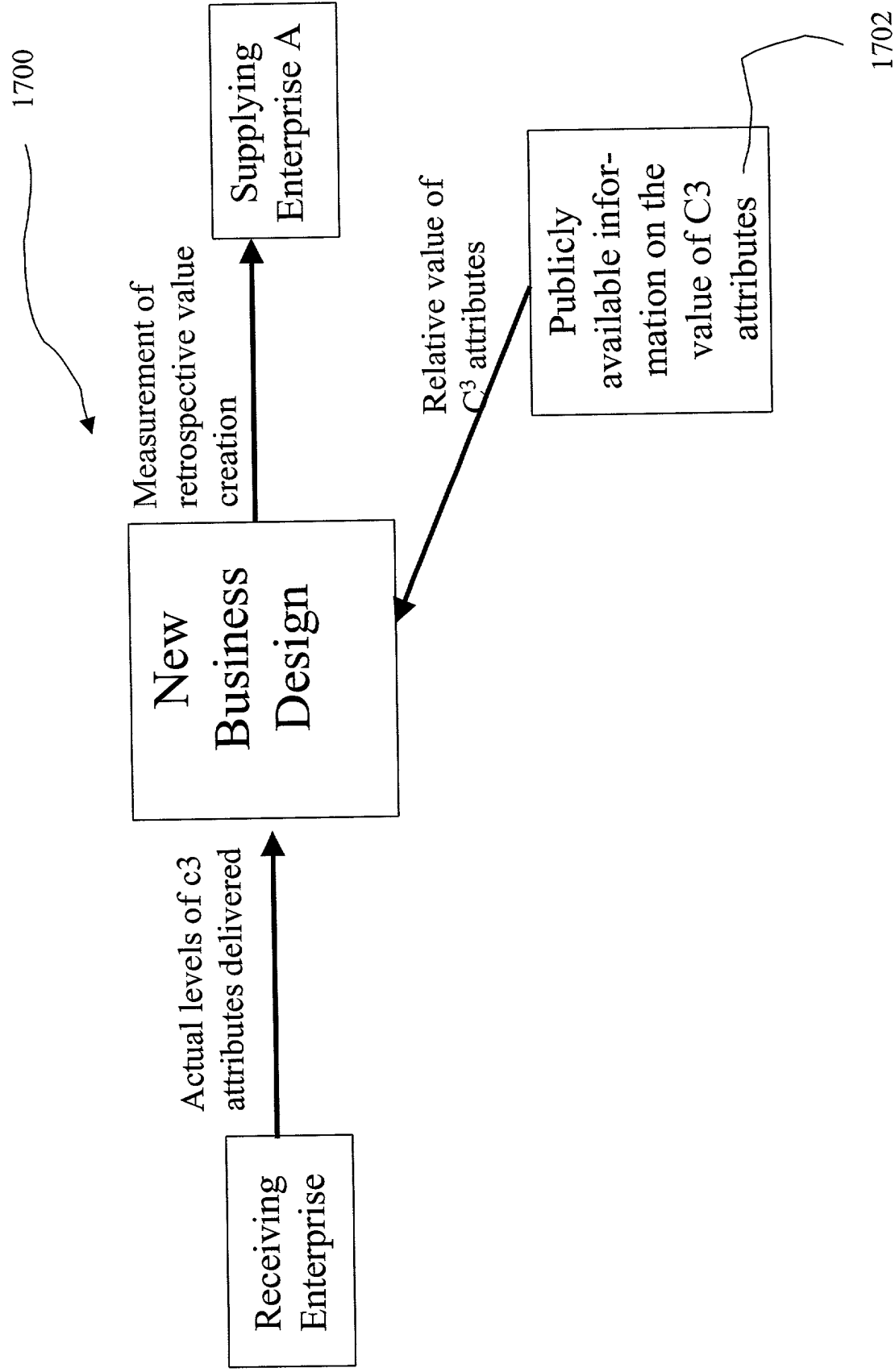
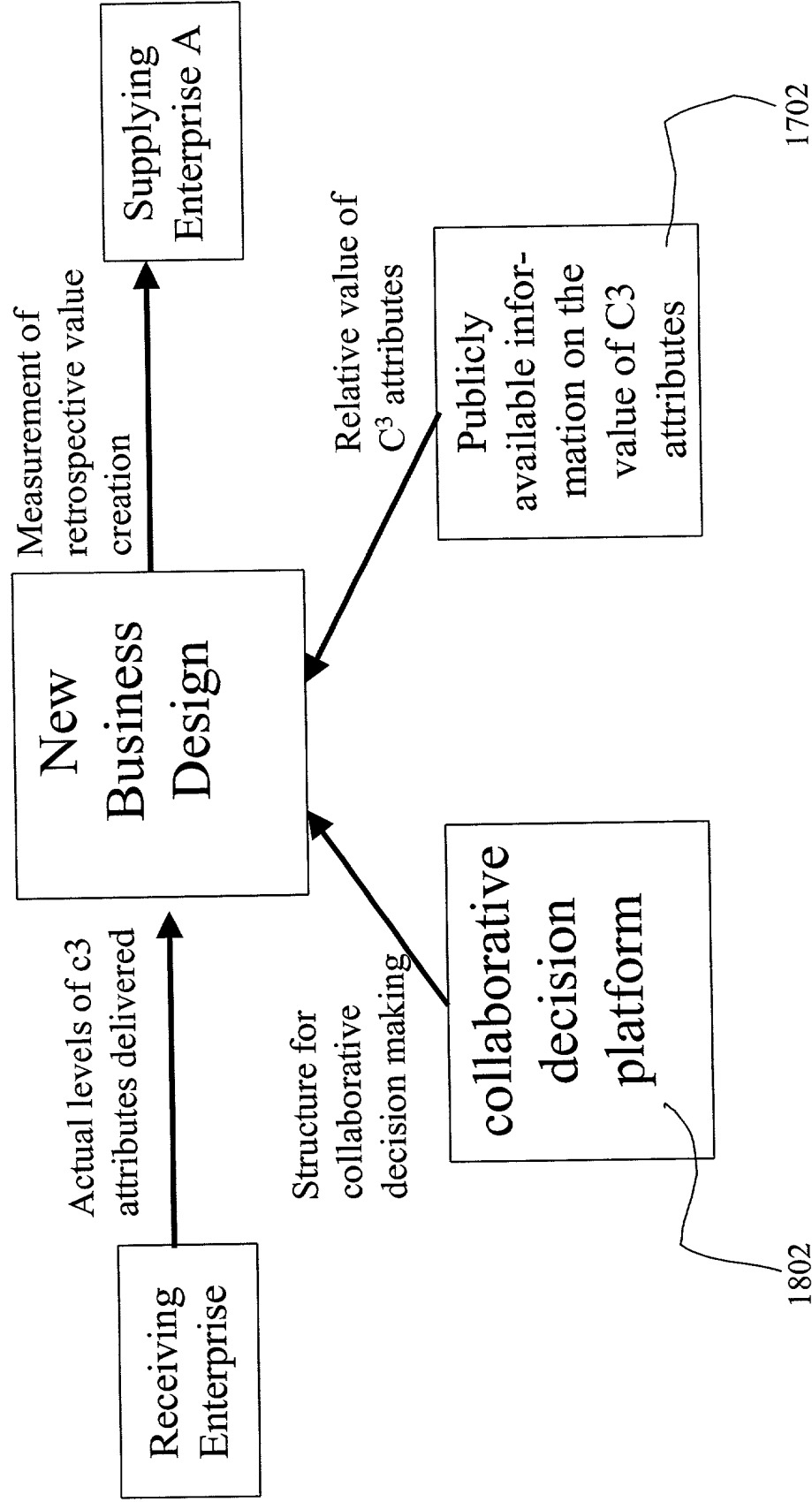


Fig. 17

1800



1702

1802

Fig. 18

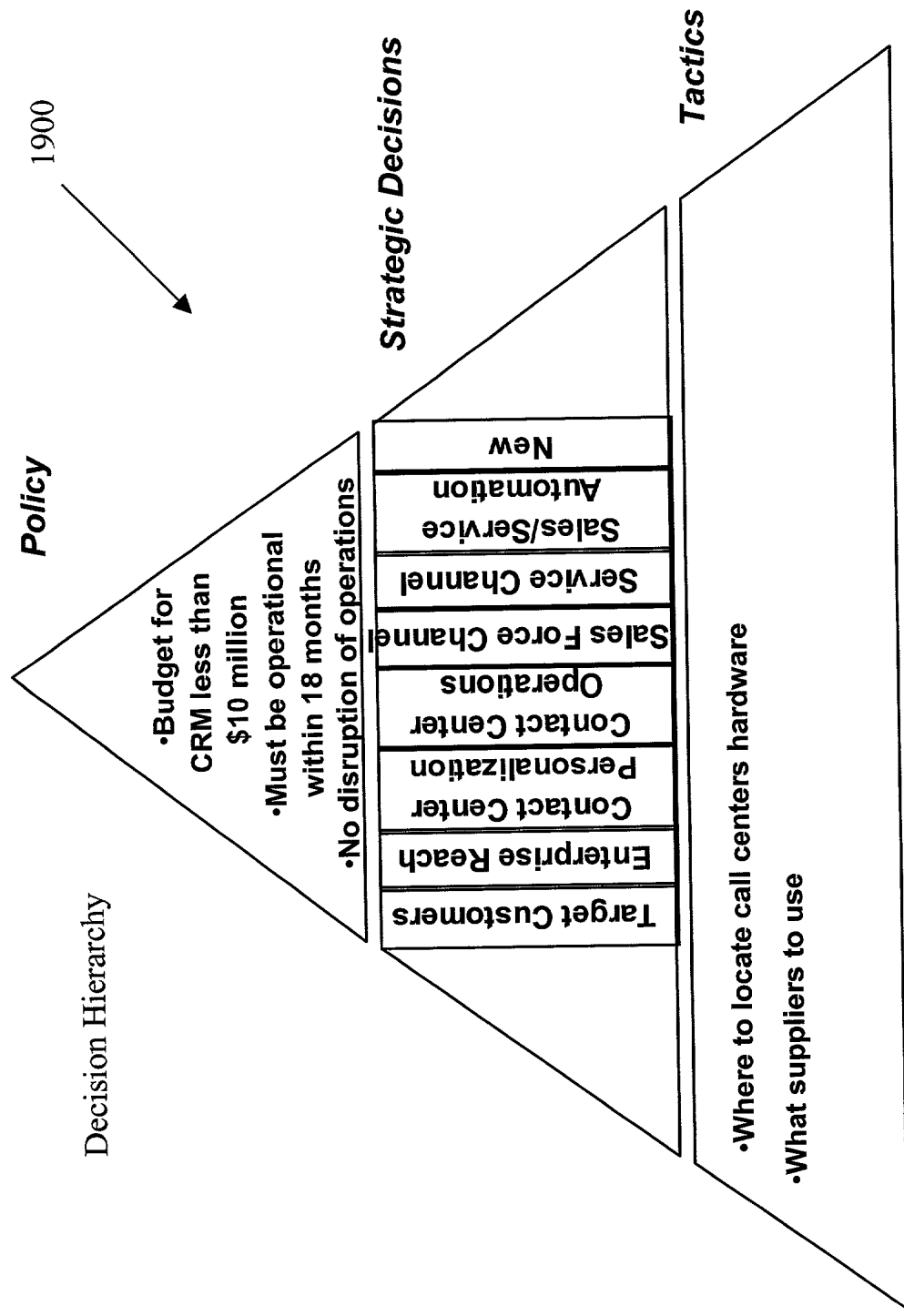


Fig. 19

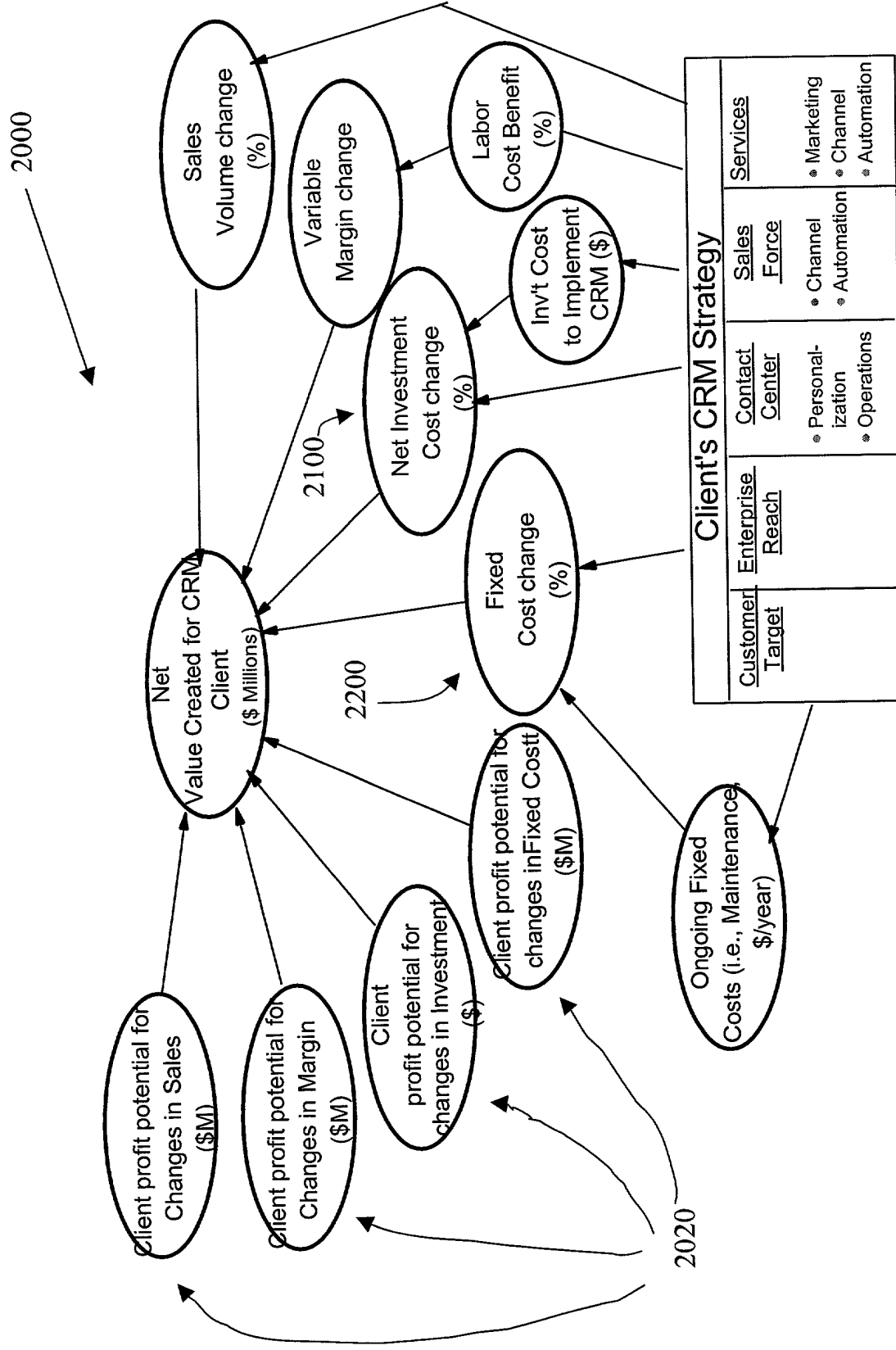


Fig. 20

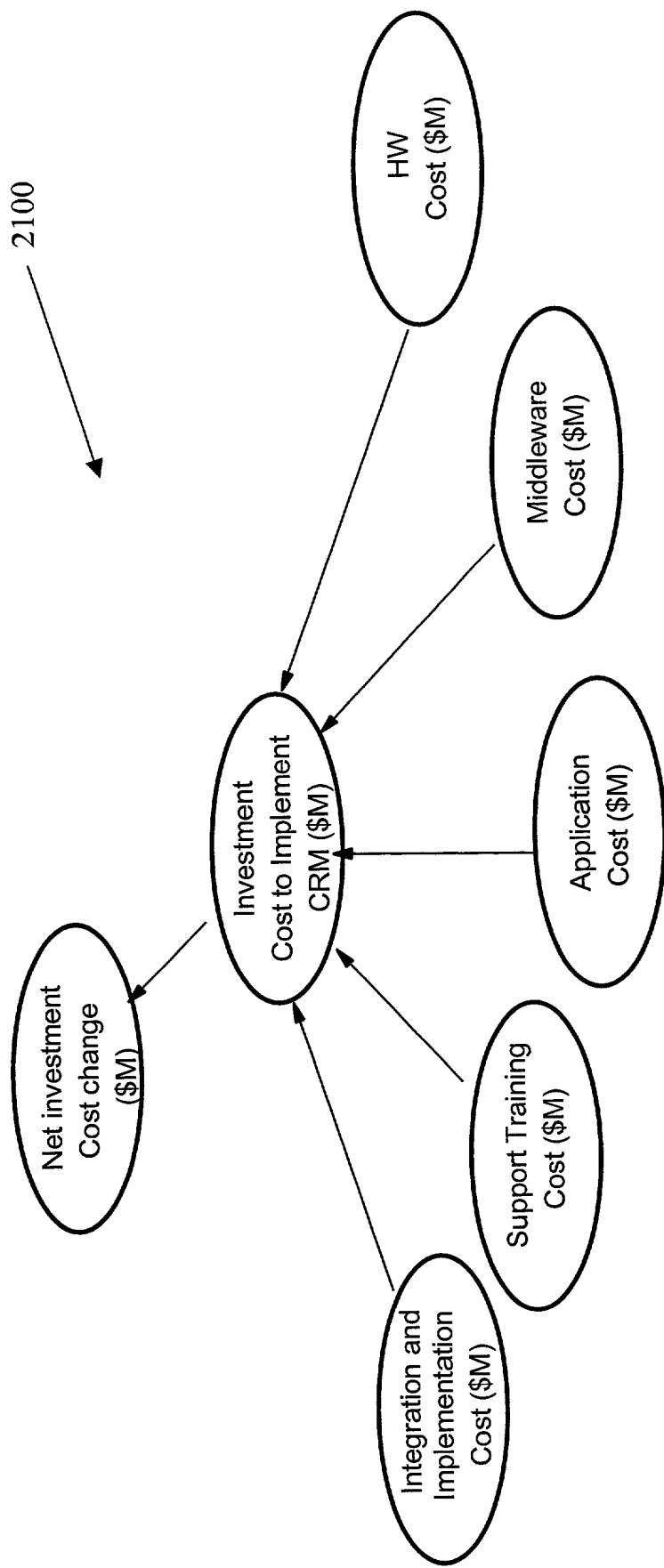


Fig. 21

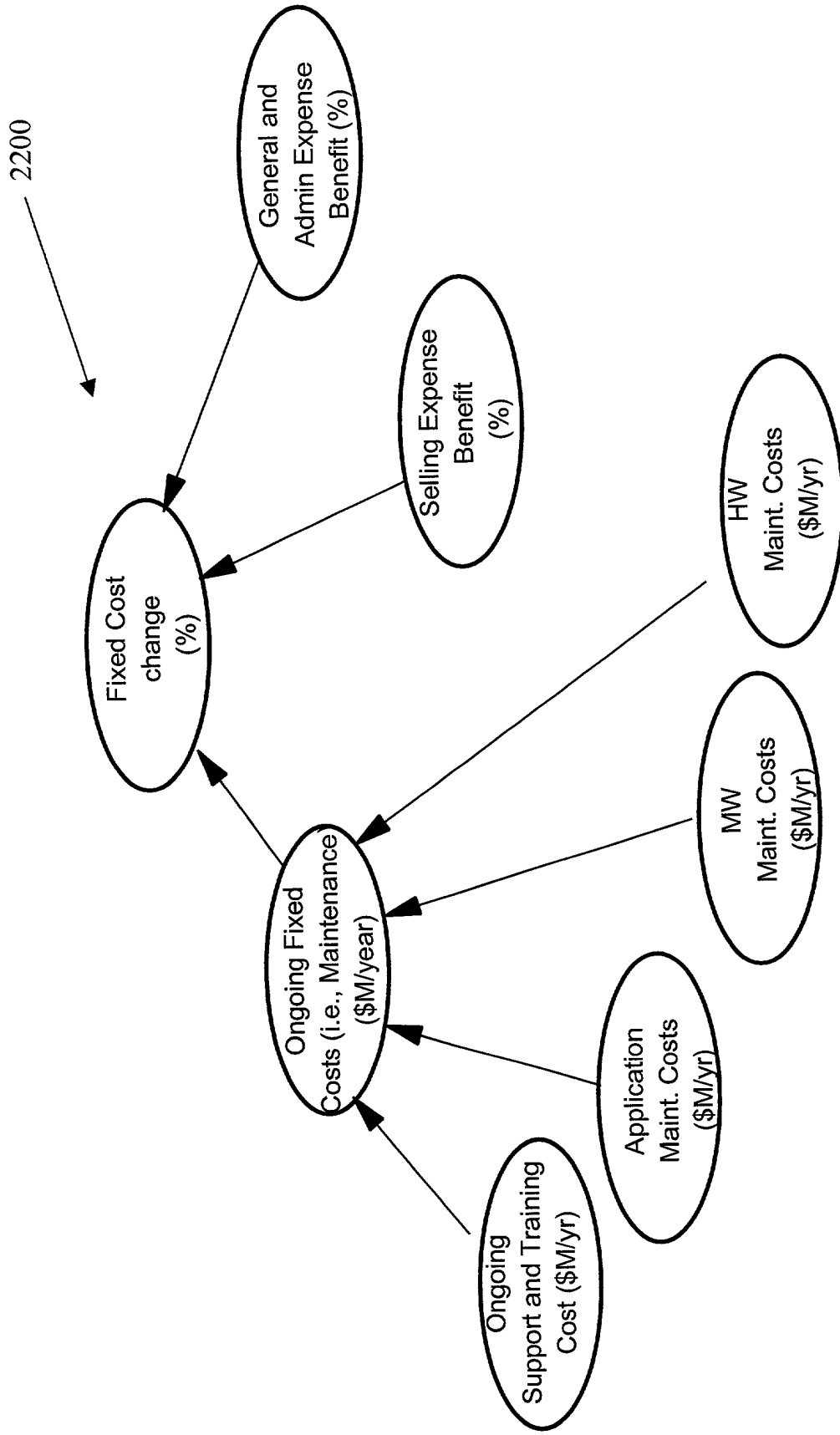


Fig. 22

Strategy Table

Strategy Name	Target Customers	Enterprise	Contact Center Personalization	Contact Center Operations	Sales Force Channel	Service Channel	Sales/Service Automation	New
Momentum	Current	Current	One Size Fits All	Outsource	Outsource	Outsource	None	
Low Cost	Lifetime Value	New Region	Selected Segments	Current	Tele Sales	Tele Sales	Multiple Legacy	
Increased Value	Deselect	Global	All Segments	Consolidate	Indirect	Indirect	Package	
	Consolidate Segments		Every Contact	Improve Efficiency	Direct	Direct	Process Based	
	Needs/Wants			New	Combined	Combined		
					Portal	Portal		
<div>Add Strategy</div> <div>Reset Strategy</div>								

Fig. 23a

2300

Strategy Table

Strategy Name	Target Customers	Enterprise Reach	Contact Center Personalization	Contact Center Operations	Sales Force Channel	Service Channel	Sales/Service Automation	New
Momentum	Current	Current	One Size Fits All	Outsource	Outsource	Outsource	None	
Low Cost	Lifetime Value	New Region	Selected Segments	Current	Tele Sales	Tele Sales	Multiple Legacy	
Increased Value	Deselect	Global	All Segments	Consolidate	Indirect	Indirect	Package	
	Consolidate Every Segments		Every Contact	Improve Efficiency	Direct	Direct	Process Based	
	Needs/Wants			New	Combined	Combined		
					Portal	Portal		
Add Strategy								
Reset Strategy								

Fig. 23b

Strategy Table

Strategy Name	Target Customers	Enterprise Reach	Contact Center Personalization	Contact Center Operations	Sales Force Channel	Service Channel	Sales/Service Automation	New
Momentum	Current	Current	One Size Fits All	Outsource	Outsource	Outsource	None	
Low Cost	Lifetime Value	New Region	Selected Segments	Current	Tele Sales	Tele Sales	Multiple Legacy	
Increased Value	Deselect	Global	All Segments	Consolidate	Indirect	Indirect	Package	
	Consolidate Segments		Every Contact	Improve Efficiency	Direct	Direct	Process Based	
	Needs/Wants			New	Combined	Combined		
					Portal	Portal		
Add Strategy								
Reset Strategy								

Fig. 23c

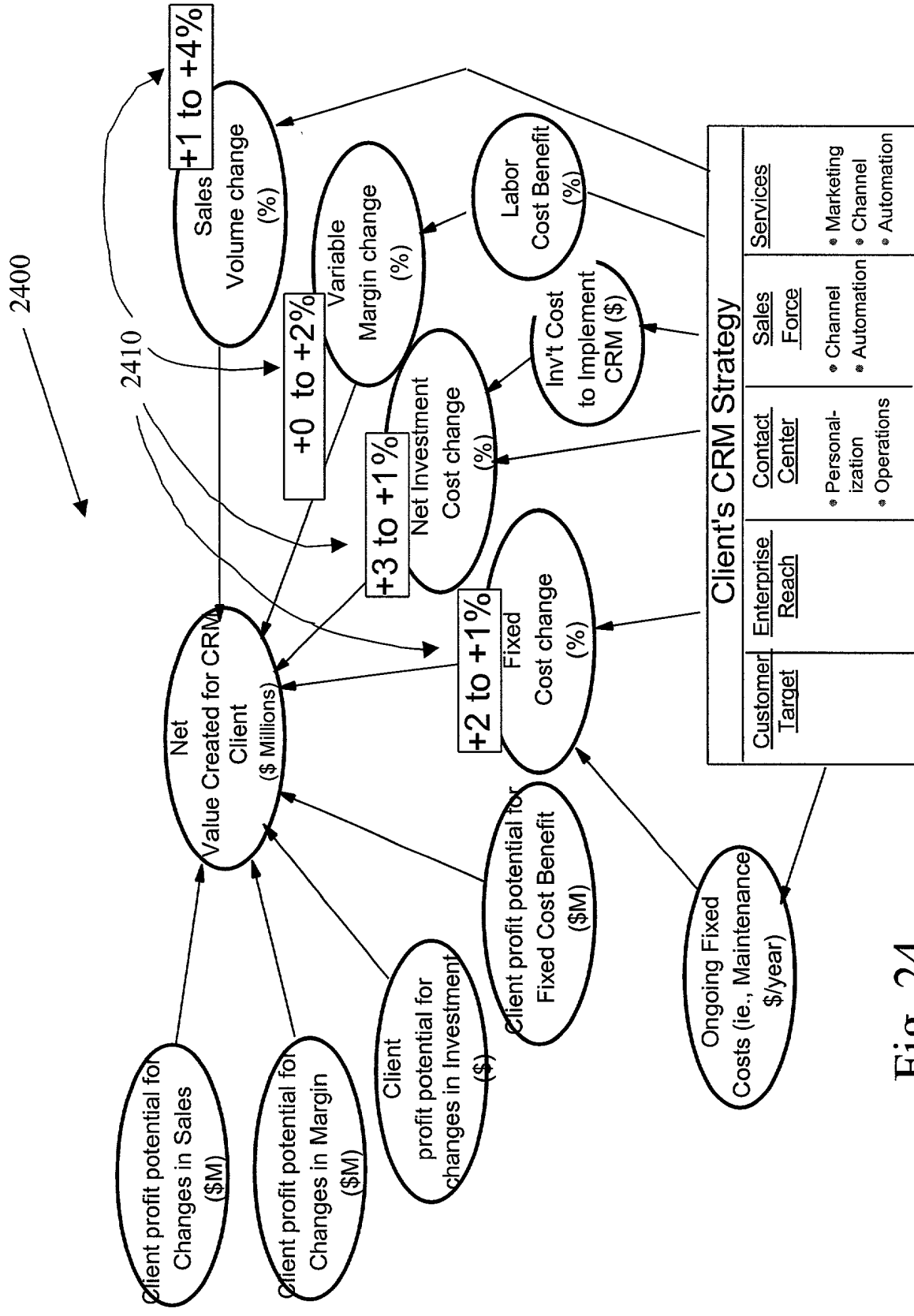


Fig. 24

C3 Attribute	Value of a one percent increase in the C3 attribute	Value of a one percent increase in the C3 attribute
	Typical New Vehicle for Automotive manufacturer (\$ Millions/year)	Typical new software product for technology company (\$ Millions/year)
Sales Volume (units)	100	40
Variable Margin (%)	170	15
Investment Cost (\$)	-20	-3
Fixed Cost (\$)	-10	-30

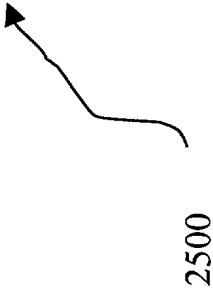


Fig. 25

C3 Attribute	Value of a one percent increase in the C3 attribute for a typical New Vehicle for Automotive manufacturer (\$ Millions/year)	Alternative 3: Best Buy/ Cost -Driven (Percent increase in the C3 attribute)	Total value for C3 attribute increase (\$ Millions/year)
Sales Volume (units)	100	+2	200
Variable Margin (%)	170	+1	170
Investment Cost (\$)	-20	+2	-40
Fixed Cost (\$)	-10	+2	-20
Total			310



2600

Fig. 26

2700

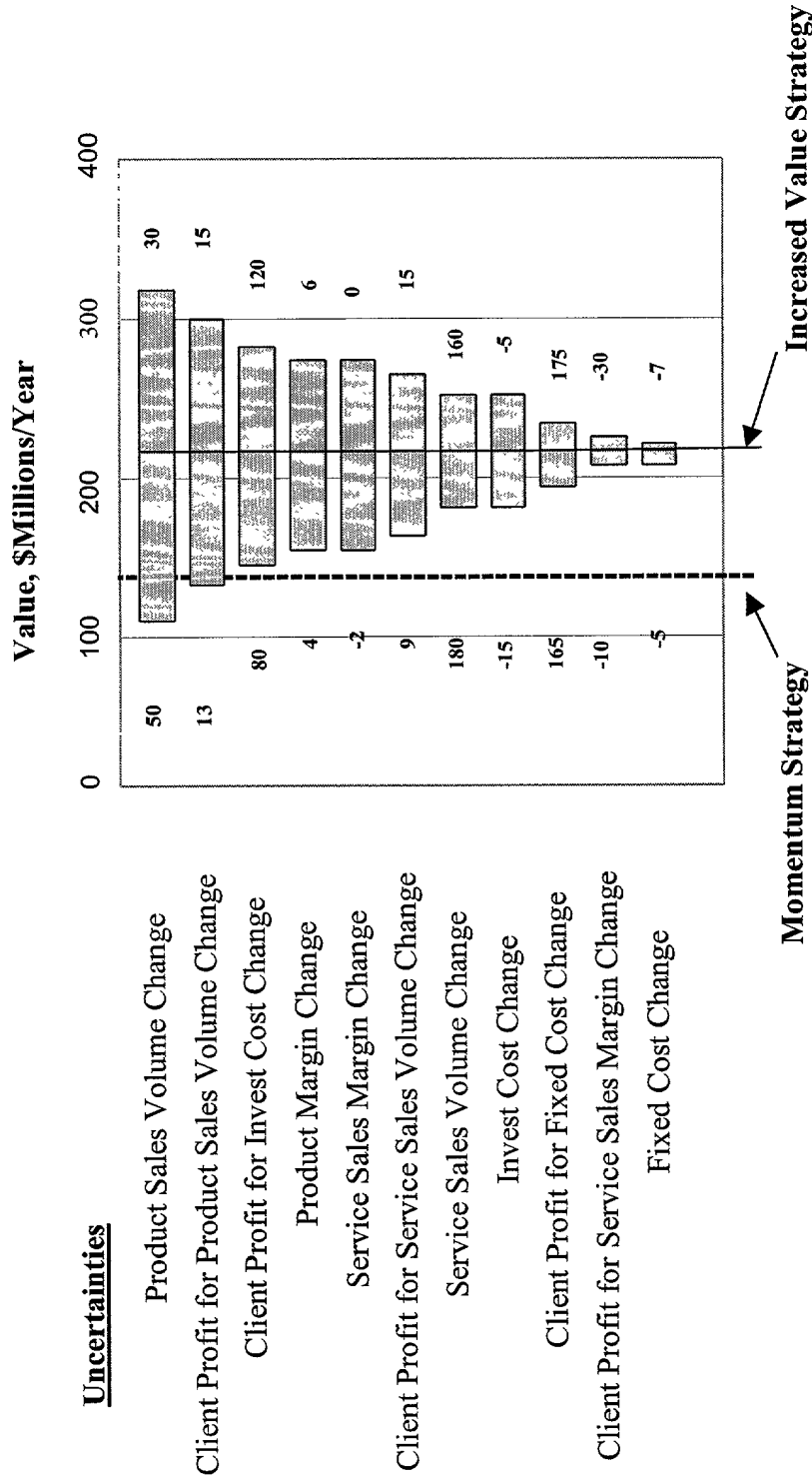


Fig. 27

2800

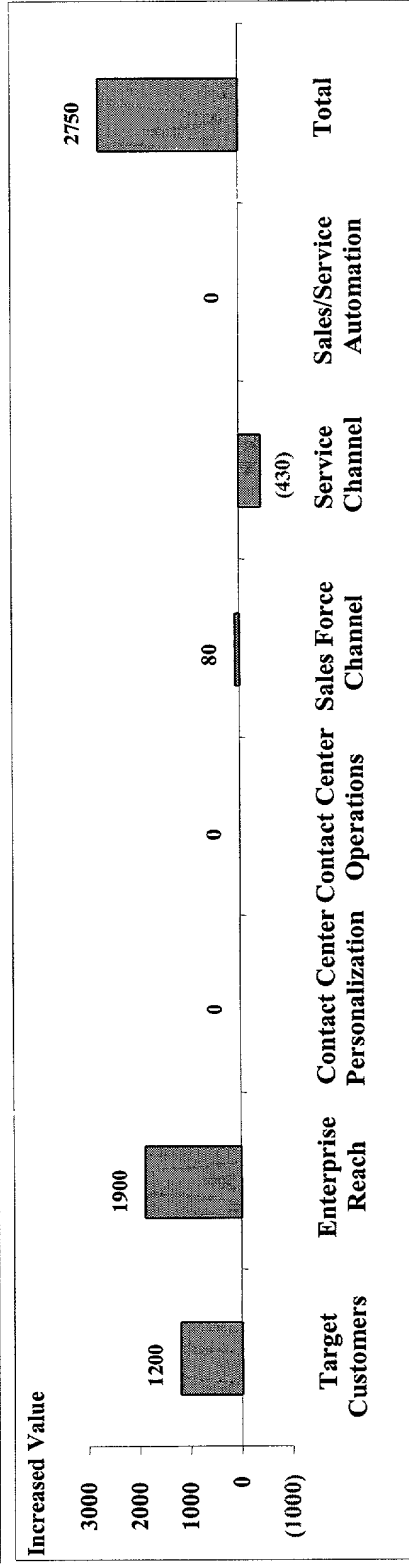
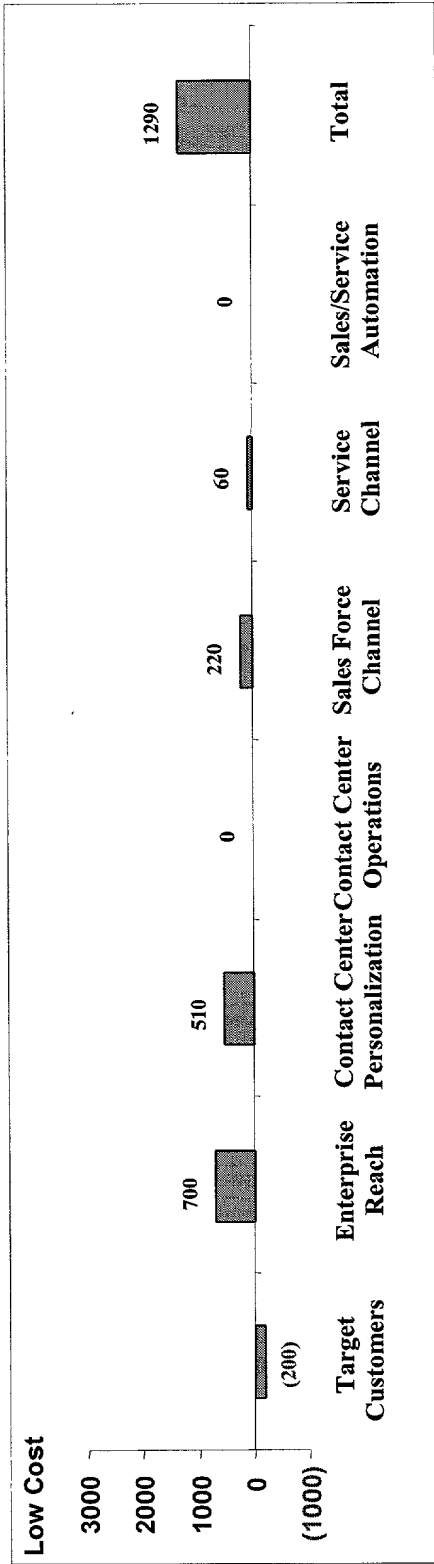


Fig. 28

2900

Strategy Table

Strategy Name	Target Customers	Enterprise Reach	Contact Center Personalization	Contact Center Operations	Sales Force Channel	Service Channel	Sales/Service Automation	New
Momentum	Current	Current	One Size Fits All	Outsource	Outsource	Outsource	None	
Low Cost	Lifetime Value	New Region	Selected Segments	Current	Tele Sales	Tele Sales	Multiple Legacy	
Increased Value	Deselect	Global	All Segments	Consolidate	Indirect	Indirect	Package	
Hybrid	Consolidate Segments		Every Contact	Improve Efficiency	Direct	Direct	Process Based	
	Needs/Wants			New	Combined	Combined		
Add Strategy					Portal	Portal	Portal	
Reset Strategy								

Fig. 29

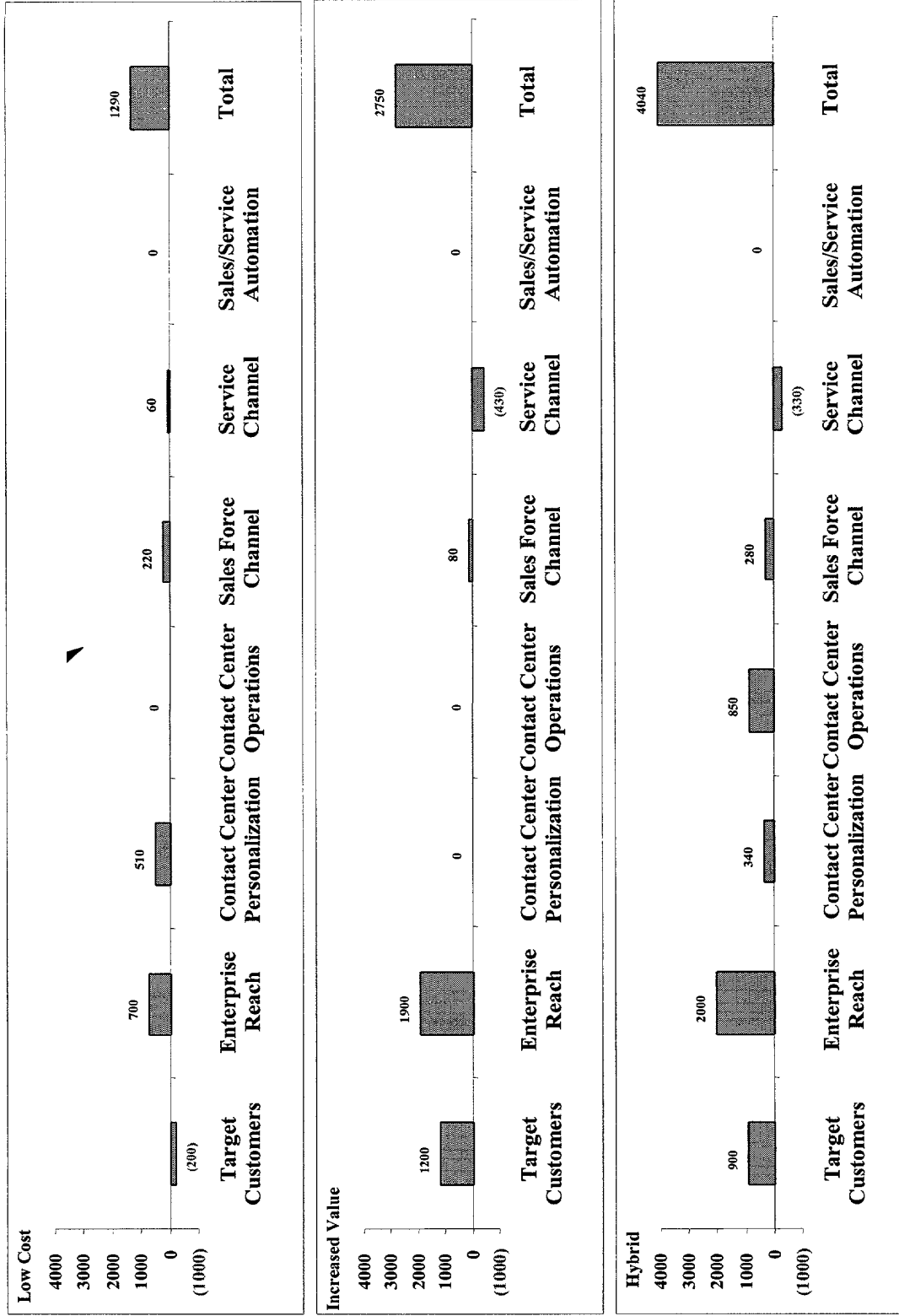


Fig. 30

DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. STRATP001

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A COLLABORATIVE DECISION PLATFORM, the specification of which,

- (check one)
1. ☒ is attached hereto.
 2. ☐ was filed on _____ as
U.S. Application Serial No. _____
and was amended on _____.
 3. ☐ was filed on _____ as
International PCT Application Serial No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Benefits Claimed?
(Appl. No.)	(Country)	(Filing Date)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>60/163,984</u>	<u>11/8/99</u>
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s)

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

And I hereby appoint **Kevin J. Zilka (Reg. No. 41,429)** as my principal agent to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Send Correspondence To: **Kevin J. Zilka**
P.O. BOX 721030
San Jose, California 95172-1030

Direct Telephone Calls To: **Kevin J. Zilka at telephone number (408) 505-5100**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of
Sole or First Inventor: Daniel L. Owen Citizenship: USA

Inventor's signature: Daniel Owen Date of Signature: 11/03/00

Residence: (City) Los Altos (State/Country) CA /USA

Post Office Address: 289 QUINN HILL AVE, LOS ALTOS, CA 94024

Full Name of Second Joint
Inventor (if any): Michael W. Kusnic Citizenship: USF

Inventor's signature: MW Kusnic Date of Signature: 11/05/00

Residence: (City) Old Tappan (State/Country) NJ /USA

Post Office Address: 23 Edith Street, Old Tappan, NJ 07675